

Tod Moody, President  
John Carapiet, Vice President  
Michael Lasky, Secretary

Catharine Benediktsson, Director  
Richard Snyder, Director

**NOTICE AND AGENDA**  
**Regular Board Meeting**  
**at Sanitary District No. 5 of Marin County Meeting Room**  
**2001 Paradise Drive, Tiburon, CA 94920**  
**Thursday, November 21, 2019**

**5:00 P.M. REGULAR BOARD MEETING**

**CALL TO ORDER**

**ROLL CALL**

**PUBLIC COMMENTS:** The public is invited to address the Board on items that do not appear on the agenda and that are within the subject matter jurisdiction of the Board. The Brown Act does not allow the Board to take action on any public comment. Please limit public comments to no more than three minutes.

**DIRECTORS' COMMENTS AND/OR AGENDA REQUESTS**

**CONSENT CALENDAR:**

1. Approval of October 17, 2019, Regular Board Meeting Minutes and November 12, 2019 Special Board Meeting Minutes (Dohrmann)
2. Review and Receive all Electronic Fund Transfers (EFT) and Approve Warrants for October 11 through November 14, 2019; JP Morgan Chase Bank Check No. 7179 through Check No. 7237, all transactions totaling in the amount of \$688,743.64; and Review and Receive October 2019 Payroll, in the amount of \$109,186.32 (Dohrmann)
3. Receipt of Financial Reports for October 2019 (Dohrmann)

**MANAGEMENT REPORTS:**

4. District Management Summary Report (Rubio)

**NEW BUSINESS:**

5. Review & Approve 2019 Sewer Rehab Project – Tiburon & Belvedere, Change Order #3 (Rubio) – Action
6. Review & Accept Bio-Solids Hauling Proposal, and Authorize District Manager to Negotiate Contract with Mill Valley Refuse (Rubio) – Action
7. Review & Approve Resolution No. 2019-12: A Resolution Expressing Official Intent Regarding Potential Refinancing Certain 2012 Revenue Bonds and Retaining a Municipal Advisor and Bond Counsel (Rubio) – Action

**UNFINISHED BUSINESS:**

**COMMITTEE REPORTS:**

8. Capital Improvement Program Committee (Lasky/Moody)
9. Governance Committee (Snyder/Lasky)
10. Finance & Fiscal Oversight Committee (Benediktsson/Carapiet)
11. Personnel Committee (Moody/Snyder)

**OTHER BUSINESS:**

**ENVIRONMENTAL:**

**CORRESPONDENCE:**

**INFORMATIONAL ITEMS:**

**CONVENE TO CLOSED SESSION:**

12. Convene to Closed Session (the public may provide comments regarding the closed session item(s) just prior to the Board beginning the Closed Session. Closed sessions are not open to the public.)

a) Closed Session pursuant to Section 54957(b)(1) – Employee Performance Evaluation

i. Employee – District Manager

b) Conference with Legal Counsel-Anticipated Litigation

Initiation of Litigation Pursuant to Paragraph (4) of Subdivision (d) of Government Code Section 54956.9: (One potential case)

13. Report out of Closed Session

**ADJOURNMENT**

The Board will be asked to adjourn the meeting to a Regular Board Meeting on December 19, 2019, at 5:00 p.m. at the Main Plant of Sanitary District No. 5 of Marin County, located at 2001 Paradise Drive, Tiburon, California.

*The Board of Directors may, at its discretion, consider agenda items out of the order in which they appear above.*

Accessible public meetings: Upon request, the District will provide written agenda materials in appropriate alternate formats, or disability-related modification or accommodation, including auxiliary aids or services to enable individual with disabilities to participate in public meetings. Requests are to be submitted in writing to the District at P.O. Box 227, Tiburon, CA 94920 or [rdohrmann@sani5.org](mailto:rdohrmann@sani5.org) at least two days prior to the meeting.

**Minutes of a Regular Board Meeting  
at Sanitary District No. 5 of Marin County Meeting Room  
2001 Paradise Drive, Tiburon, CA 94920  
Thursday, October 17, 2019**

**CALL TO ORDER** by President Tod Moody at 5:02 P.M.

**ROLL CALL**

Directors present: Tod Moody, President  
John Carapiet, Vice President  
Michael Lasky, Secretary  
Catharine Benediktsson, Director  
Richard Snyder, Director

Staff present: Tony Rubio, District Manager  
Robin Dohrmann, Office Manager

Consultants present: Benjamin Stock, Burke, Williams, Sorensen, LLP

Others present: Jane Jacobs, Tiburon Resident  
Marsha Morhara, Tiburon Resident  
Peg Stevens, Tiburon Resident

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There were no public comments at this time.

**DIRECTORS' COMMENTS AND/OR AGENDA REQUESTS**

- Vice President Carapiet recommended the purchase of a generator, in light of the recent PG&E PSPSs, and suggested cost-sharing with City of Belvedere, if placed at the Corp Yard
- Vice President Carapiet had an agenda request: enforcement of non-compliant SD5 permits

**CONSENT CALENDAR:**

1. Approval of September 19, 2019, Regular Board Meeting Minutes (Dohrmann)
2. Review and Receive all Electronic Fund Transfers (EFT) and Approve Warrants for September 13 through October 10, 2019; JP Morgan Chase Bank Check No. 7129 through Check No. 7178, all transactions totaling in the amount of \$752,444.29; and Review and Receive September 2019 Payroll, in the amount of \$96,400.68 (Dohrmann)

3. Receipt of Financial Reports for September 2019 (Dohrmann)

Discussion by the Board. Motion (Snyder/Carapiet) to approve Items No. 1 through No. 3 on the Consent Calendar. Passed unanimously.

President Moody moved Item No. 5 for consideration, as described on the agenda, to this time (5:05 p.m.).

**NEW BUSINESS:**

5. Review & Discuss Correspondence from 44 Lyford Home Owners' Association for Clarification of Ordinance No. 2014-02, Specifically Addressing Shared Sewer Lateral Repair Requirements as Listed in Letter dated October 1, 2019 (Rubio) – Action

J. Jacobs presented a letter to the Board. Discussion by the Board. Direction given to staff, no action taken.

President Moody returned to Item No. 4, as described on the agenda, to this time (5:41 p.m.).

**MANAGEMENT REPORTS:**

4. District Management Summary Report (Rubio)

District Manager, Tony Rubio, presented a written and verbal report on current District issues, responding to questions from the Board. Discussion by the Board.

**NEW BUSINESS (cont'd):**

6. Early Pay-Off Options re 2013 Main Plant Rehabilitation (MPR) Bond Payment Schedule (Rubio) – Action

Discussion by the Board. Direction given, no action taken.

7. Review and Approve Resolution No. 2019-10: A Resolution Ratifying Use of Approximately \$120,889, from Capital Reserve Funds for Payment of CalPERS' Fresh Start & Asset Offsets, Assumption & Method Changes, and Unfunded Accrued Asset & Non-Asset Balances for Classic Members' Unfunded Liability (Rubio) – Action

Discussion by the Board. Motion (Carapiet/Snyder) to Ratify Use of Approximately \$120,889, from Capital Reserve Funds for Payment of CalPERS' Fresh Start & Asset Offsets, Assumption & Method Changes, and Unfunded Accrued Asset & Non-Asset Balances for Classic Members' Unfunded Liability. Passed unanimously.

8. Review and Approve Resolution No. 2019-11: A Resolution Ratifying Use of Approximately \$10,888, from Capital Reserve Funds for Payment of CalPERS' Asset Offsets, Assumption & Method Changes, and Unfunded Accrued Asset & Non-Asset Balances for PEPRAs Members' Unfunded Liability (Rubio) – Action

Discussion by the Board. Motion (Carapiet/Snyder) to Ratify Use of Approximately \$10,888, from Capital Reserve Funds for Payment of CalPERS' Asset Offsets, Assumption & Method Changes, and Unfunded Accrued Asset & Non-Asset Balances for PEPRA Members' Unfunded Liability. Passed unanimously.

9. Further Review & Consideration re Belvedere-Tiburon Library's Request for Waiver of Fees (Rubio) – Action

Discussion by the Board. Original decision stands not to grant any waiver of fees to Belvedere-Tiburon Library. Passed 4-1-0-0.

**UNFINISHED BUSINESS:**

**COMMITTEE REPORTS:**

- 10. Capital Improvement Program Committee (Lasky/Moody) – None
- 11. Governance Committee (Snyder/Lasky) – None
- 12. Finance & Fiscal Oversight Committee (Benediktsson/Carapiet) – Brief verbal report provided
- 13. Personnel Committee (Moody/Snyder) – None

**OTHER BUSINESS:** None

**ENVIRONMENTAL:** None

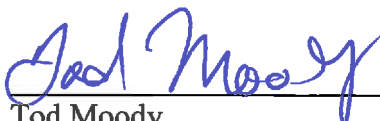
**CORRESPONDENCE:** None

**INFORMATIONAL ITEMS:** None

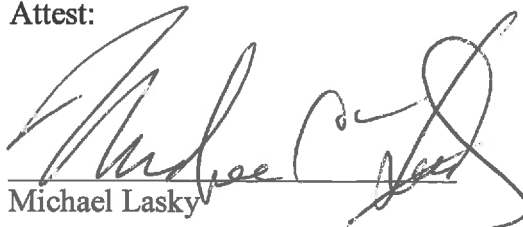
**ADJOURNMENT**

The Board adjourned at 6:13 p.m. to a Regular Board Meeting on November 21<sup>st</sup>, 2019, at 5:00 p.m. at the Main Plant of Sanitary District No. 5 of Marin County, located at 2001 Paradise Drive, Tiburon, California.

Approved:

  
\_\_\_\_\_  
Tod Moody  
President, Board of Directors

Attest:

  
\_\_\_\_\_  
Michael Lasky  
Secretary, Board of Directors

**Minutes of a Special Board Meeting  
at Sanitary District No. 5 of Marin County Meeting Room  
2001 Paradise Drive, Tiburon, CA 94920  
Thursday, November 14, 2019**

**11:00 A.M. SPECIAL BOARD MEETING – OPEN SESSION**

**CALL TO ORDER** by Vice President John Carapiet at 11:00 A.M.

**ROLL CALL**

Directors present:	Tod Moody, President (11:18 a.m.) John Carapiet, Vice President Michael Lasky, Secretary Catharine Benediktsson, Director
Directors absent:	Richard Snyder, Director
Staff present:	Tony Rubio, District Manager Robin Dohrmann, Office Manager
Consultants present:	Robert Pankratz, Wulff, Hansen & Co. Ben Levine, Wulff, Hansen & Co.

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There were no public comments at this time.

**DIRECTORS' COMMENTS AND/OR AGENDA REQUESTS**

There were no Directors' comments or agenda requests at this time.

**NEW BUSINESS**

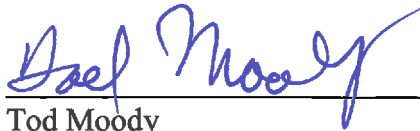
1. Roundtable Discussion re Refinancing Options for SD5's Main Plant Rehabilitation (MPR) Bond– Robert Pankratz & Ben Levine (Wulff, Hansen & Co.)

Robert Pankratz & Ben Levine (Wulff, Hansen & Co.) reviewed a written report provided, regarding current financial information and opportunities to refinance and reduce SD5 debt, responding to questions from the Board. Discussion by the Board.

**ADJOURNMENT**

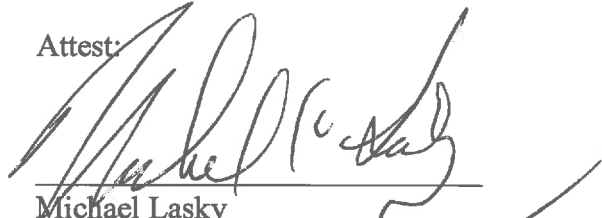
The Board adjourned at 12:22 p.m. to a Regular Board Meeting on November 21<sup>st</sup>, 2019, at 5:00 p.m. at the Main Plant of Sanitary District No. 5 of Marin County, located at 2001 Paradise Drive, Tiburon, California.

Approved:



Tod Moody  
President, Board of Directors

Attest:



Michael Lasky  
Secretary, Board of Directors

**Sanitary Distr. No.5 of Marin Co.**  
**Warrant List Summary**  
**October 11 through November 14, 2019**

Item #2

11/12/19

Date	Num	Name	Memo	Amount
<b>JP Morgan Chase - Primary 7399</b>				
10/17/19	7179	Cintas Corporation #626	Acct #626-00821, PPE/Safetywear + Service, Sept '19	-351.18
10/17/19	7180	Comcast Business	Acct# 8155 30 011 0149465, Bus. Voice, Internet & Cable, Oct - ...	-600.70
10/17/19	7181	Mill Valley Refuse Service, Inc.	Acct #032945, Garbage Service + 1 yd rental, Oct '19	-234.60
10/17/19	7182	Recology Sonoma Marin	Cust #A1810470401, Cust ID: SANI#5, Sludge Disposal, Aug - Se...	-1,400.00
10/17/19	7183	SASM	Bioassay Testing, Jul - Sept '19	-1,800.00
10/17/19	7184	Staples, Inc.	Acct #60111000714, Office Supplies, Aug '19	-58.51
10/17/19	7185	Town of Tiburon	Fuel, Jul '19	-1,287.36
10/17/19	7186	Verizon Wireless	Acct #0342125502-00001: iPhones, Oct-Nov '19	-388.54
11/14/19	7187	Access Answering Service	Acct #4080C, Answering Service, Nov '19	-60.00
11/14/19	7188	Alhambra	Acct #547945611762129, Water, Sept-Oct '19	-150.90
11/14/19	7189	AT&T	Acct #960732-76375559, Oct-Nov '19	-770.77
11/14/19	7190	Banshee Networks, Inc.	Computer/IT Support, Sept - Oct '19	-6,632.32
11/14/19	7191	Brelje and Race Laboratories, Inc.	M.P./P.C. Plant Samples, Nov '19	-1,310.00
11/14/19	7192	Burke, Williams & Sorensen, LLP	Legal Advice, Sept '19	-994.50
11/14/19	7193	California State Disbursement Unit	CSE Case# 200000002184580; Court Case# SFL 81271, Oct - No...	-600.00
11/14/19	7194	Caltest Analytical Laboratory	M.P./P.C. Lab Sampling, Sept - Oct '19	-2,342.75
11/14/19	7195	Caltronics Business Systems, Inc.	Acct #SD15, Multi-purpose Copier Contract Fee, Oct '19	-214.68
11/14/19	7196	Center For Hearing Health, Inc.	Annual on-site hearing tests, Nov '19	-570.00
11/14/19	7197	Comcast Business	Acct# 8155 30 011 0149465, Bus. Voice, Internet & Cable, Nov - ...	-561.07
11/14/19	7198	Cornely Company	Cust ID: SANDIST, M.P. Boiler Repair, Jul '19	-1,378.03
11/14/19	7199	CWEA	T Rubio #39532I, Dec '19 (AJE FY20-21)	-178.00
11/14/19	7200	DKF Solutions Group, LLC	My Safety Officer Monthly Subscription, Nov '19	-350.00
11/14/19	7201	East Bay Muni Utility District	Cust #SADI3002, BACWA Membership & Prog. Fees for FY18-19,...	-5,214.00
11/14/19	7202	Eaton Corporation	ID#:341599, M.P. Parts & Srv, Oct '19	-2,000.00
11/14/19	7203	Goodman Building Supply Co.	Acct #20070, P&L, M.P. Maint, Oct '19	-373.79
11/14/19	7204	Grainger	Acct #810128785, MP Maint. Supplies, Oct '19	-995.42
11/14/19	7205	Hach Company	Acct #108400, Lab Supplies, Oct '19	-680.51
11/14/19	7206	Herc Rentals, Inc.	Cust #1580740, M.P. Parts & srvc, Nov '19	-71.66
11/14/19	7207	Home Depot Credit Services	Acct #6035 3220 0516 4334, P&L, M.P. Maint., Oct '19	-86.58
11/14/19	7208	Ken Grady Company, Inc.	SD5 P&L, Sept '19	-4,913.33
11/14/19	7209	Linscott Engineering Contractors Inc.	M.P. P&L, Oct '19	-8,940.49
11/14/19	7210	Maltby Electric Supply Co., Inc.	Cust No.15953, BPS P&L, Oct '19	-749.06
11/14/19	7211	Marin Municipal Water District	Water, Aug - Oct '19	-1,378.12
11/14/19	7212	Medical Center of Marin, ES A/R	Acct #:SDN5_B1, J Alvarez, Aug - Sept '19	-274.00
11/14/19	7213	MidAmerica	Acct#: SD5MARIN0G5, 2019 Rates, Jan - Jun '20	-33,849.60
11/14/19	7214	North Bay Petroleum	Generator Fuel for Work done at FY19-20 Viztazo West Washout ...	-1,636.26
11/14/19	7215	NSI Lab Solutions, Inc.	Cust#21528, Lab Chemicals, Jun '19	-275.00
11/14/19	7216	Pacific Gas & Electric	Acct #2908031411-4, Utilities, Sept - Oct '19	-22,794.71
11/14/19	7217	Perotti & Carrade	Client #1901 - Field Audit Services, Nov '19	-5,000.00
11/14/19	7218	Regional Monitoring Program c/o S...	M.P. + P.C. Permit/Core+AMR Fees - RMP 2020 (AJE FY20-21)	-12,115.00
11/14/19	7219	Robert L Talavera, LLC	SSGIS ArcView Support, Sept - Oct '19	-312.50
11/14/19	7220	Roy's Sewer Service, Inc.	Belv P&L, Sept - Oct '19	-23,962.50
11/14/19	7221	Special District Risk Management ...	Member #7665, Life, Vision, DDS & LTD Ins., Nov '19	-1,499.31
11/14/19	7222	U.S. Bank	Acct#:4246-0441-0158-3635, Sept - Oct '19	-9,685.02
11/14/19	7223	Univar	Cust ID #STDT001, Chemicals, Oct '19	-7,600.37
11/14/19	7224	USA BlueBook	Cust #933682, Safety & M.P. Supplies, Oct '19	-6,491.29
11/14/19	7225	Waste Management of Redwood L...	Acct #507-0000190-1507-2, Sludge Disposal, Oct '19	-674.52
11/14/19	7226	Water Components & Building Sup...	Acct #454, Tib P&L - Vistazo West Washout, Oct '19	-1,268.87
11/14/19	7227	Wonderware NorCal	Cust #SANI5, SCADA Sys. Maint. & IT Support Contract Renewal, ...	-825.00
11/14/19	7228	WorkSmart Automation, Inc.	SD5 Comm System Maintenance, Oct '19	-226.00
11/14/19	7229	Glosage Engineering, Inc.	2019 Sewer Rehab Project, Belv, Progress Pymnt #3, Nov '19	-408,279.36
11/14/19	7230	Bilsborough, Chad	Multiple Travel Exp. Reimb. for C Bilsborough, Oct '19	-531.85
11/14/19	7231	Cottrell, Rulon	Multiple Travel Exp. Reimb. for R Cottrell, Jun - Oct '19	-410.86
11/14/19	7232	Dohrmann, Robin	Multiple Travel Exp. Reimb. for R Dohrmann Oct '19	-213.00
11/14/19	7233	La Torre, Daniel P.	Multiple Travel Exp. Reimb. for D LaTorre, Oct '19	-180.00
11/14/19	7234	Rosser, John	Multiple Travel + S/B Exp.Reimb. for J Rosser, Oct '19	-451.37
11/14/19	7235	Rubio, Antonio	PPE Exp. Reimb. for T Rubio, Oct '19	-71.10
11/14/19	7236	Swett, Drake	Multiple Travel + S/B Exp.Reimb. for D Swett, Oct '19	-434.28
11/14/19	7237	Triola, Joseph	Per Diem Reimb. for J Triola, Oct '19	-45.00
Total JP Morgan Chase - Primary 7399				-586,743.64
<b>TOTAL</b>				<b>-586,743.64</b>



**Sanitary Distr. No.5 of Marin Co.  
Warrant List Detail**

October 11 through November 14, 2019

Date	Num	Name	Memo	Account	Class	Paid Amount
10/17/19	7179	Cintas Corporation #626	Acct #626-00821, PPE/Safetywear + Service, Sept '19	JP Morgan Chase - Primary 7399	Belvedere Tiburon:Paradise Cove Tiburon	-126.28 -8.50 -216.40
			Inv #7905, #8380, #8945, #1561, PPE/Safetywear, 9.13.19, 9.20.19, 9.27.19, ...			
			Inv #7905, #8380, #8945, #1561, PPE/Safetywear, 9.13.19, 9.20.19, 9.27.19, ...			
			Inv #7905, #8380, #8945, #1561, PPE/Safetywear, 9.13.19, 9.20.19, 9.27.19, ...			
			TOTAL			-351.18
10/17/19	7180	Comcast Business	Acct# 8155 30 011 0149465, Bus. Voice, Internet & Cable, Oct - Nov '19	JP Morgan Chase - Primary 7399	Belvedere Tiburon:Paradise Cove Tiburon	-32.20 -2.17 -55.18 -45.71 -3.08 -78.33 -101.42 -6.83 -173.78 -1.85 -0.12 -3.17 -1.85 -0.12 -3.17 -16.49 -1.11 -28.26 -16.49 -1.11 -25.26 -600.70
			Bundle: Cable (\$89.55), 10.12.19 - 11.11.19	8510 · Data/Alarms/IT Supp & Licensing	Belvedere	
			Bundle: Cable (\$89.55), 10.12.19 - 11.11.19	8510 · Data/Alarms/IT Supp & Licensing	Tiburon:Paradise Cove	
			Bundle: Cable (\$89.55), 10.12.19 - 11.11.19	8510 · Data/Alarms/IT Supp & Licensing	Tiburon	
			Bundle: Internet (\$121.65), 10.12.19 - 11.11.19	8510 · Data/Alarms/IT Supp & Licensing	Belvedere	
			Bundle: Internet (\$121.65), 10.12.19 - 11.11.19	8510 · Data/Alarms/IT Supp & Licensing	Tiburon:Paradise Cove	
			Bundle: Internet (\$121.65), 10.12.19 - 11.11.19	8510 · Data/Alarms/IT Supp & Licensing	Tiburon	
			Bundle: Land Line Phones (\$269.87), 10.12.19 - 11.11.19	8531 · Main Plant Telephones	Belvedere	
			Bundle: Land Line Phones (\$253.45), 10.12.19 - 11.11.19	8532 · Paradise Cove Telephones	Tiburon:Paradise Cove	
			Bundle: Land Line Phones (\$253.45), 10.12.19 - 11.11.19	8531 · Main Plant Telephones	Tiburon	
			Bundle: Taxes & Fees (\$10.03/2), 10.12.19 - 11.11.19	8510 · Data/Alarms/IT Supp & Licensing	Belvedere	
			Bundle: Taxes & Fees (\$10.03/2), 10.12.19 - 11.11.19	8510 · Data/Alarms/IT Supp & Licensing	Tiburon:Paradise Cove	
			Bundle: Taxes & Fees (\$10.03/2), 10.12.19 - 11.11.19	8510 · Data/Alarms/IT Supp & Licensing	Tiburon	
			Bundle: Taxes & Fees (\$10.03/2), 10.12.19 - 11.11.19	8531 · Main Plant Telephones	Belvedere	
			Bundle: Taxes & Fees (\$10.03/2), 10.12.19 - 11.11.19	8532 · Paradise Cove Telephones	Tiburon:Paradise Cove	
			Bundle: Taxes & Fees (\$10.03/2), 10.12.19 - 11.11.19	8531 · Main Plant Telephones	Tiburon	
			Bundle: Cable (\$89.55), Very Past Due :	8510 · Data/Alarms/IT Supp & Licensing	Belvedere	
			Bundle: Cable (\$89.55), Very Past Due :	8510 · Data/Alarms/IT Supp & Licensing	Tiburon:Paradise Cove	
			Bundle: Land Line Phones (\$269.87), Very Past Due :	8510 · Data/Alarms/IT Supp & Licensing	Tiburon	
			Bundle: Land Line Phones (\$269.87), Very Past Due :	8531 · Main Plant Telephones	Belvedere	
			Bundle: Land Line Phones (\$269.87), Very Past Due :	8532 · Paradise Cove Telephones	Tiburon:Paradise Cove	
			Bundle: Land Line Phones (\$269.87), Very Past Due :	8531 · Main Plant Telephones	Tiburon	
			TOTAL			-600.70
10/17/19	7181	Mill Valley Refuse Service, Inc.	Acct #032945, Garbage Service + 1 yd rental, Oct '19	JP Morgan Chase - Primary 7399	Belvedere Tiburon	-86.45 -148.15
			Garbage Service, Including 1 yd trash + 1 yd cardboard rental, 10.1.19 - 10.3...	7023 · Janitorial Supplies & Service		
			Garbage Service, Including 1 yd trash + 1 yd cardboard rental, 10.1.19 - 10.3...	7023 · Janitorial Supplies & Service		
			TOTAL			-234.60
10/17/19	7182	Recology Sonoma Marin	Cust #A1810470401, Cust ID: SAN#5, Sludge Disposal, Aug - Sept '19	JP Morgan Chase - Primary 7399	Belvedere Tiburon:Paradise Cove Tiburon	-503.44 -33.88 -862.68
			Inv #23581, 4 loads, 20Yd Debris Box/Sludge Disposal, 8.16.19, 8.29.19, 9.4...	7029 · Main Plant Sludge Disposal		
			Inv #23581, 4 loads, 20Yd Debris Box/Sludge Disposal, 8.16.19, 8.29.19, 9.4...	7043 · Paradise Sludge Disposal		
			Inv #23581, 4 loads, 20Yd Debris Box/Sludge Disposal, 8.16.19, 8.29.19, 9.4...	7029 · Main Plant Sludge Disposal		
			TOTAL			-1,400.00
10/17/19	7183	SASM	Bioassay Testing, Jul - Sept '19	JP Morgan Chase - Primary 7399	Belvedere Tiburon	-663.30 -1,136.70
			Inv #3050, Bioassay testing service, Jul - Sept '19	7051 · Main Plant Lab Monitoring		
			Inv #3050, Bioassay testing service, Jul - Sept '19	7051 · Main Plant Lab Monitoring		

**Sanitary Distr. No.5 of Marin Co.  
Warrant List Detail**

October 11 through November 14, 2019

11/14/19

Date	Num	Name	Memo	Account	Class	Paid Amount
TOTAL						-1,800.00
10/17/19	7184	Staples, Inc.	Acct #60111000714, Office Supplies, Aug '19	JP Morgan Chase - Primary 7399		
			Inv #2365362591, #2365412781, New EE Desk set-up & supplies, 10.1.19	6047 · Office Supplies	Belvedere	-21.04
			Inv #2365362591, #2365412781, New EE Desk set-up & supplies, 10.1.19	6047 · Office Supplies	Tiburon;Paradise Cove	-1.42
			Inv #2365362591, #2365412781, New EE Desk set-up & supplies, 10.1.19	6047 · Office Supplies	Tiburon	-36.05
TOTAL						-58.51
10/17/19	7185	Town of Tiburon	Fuel, Jul '19	JP Morgan Chase - Primary 7399		
			Fuel, Sept '19	7071 · Fuel	Belvedere	-250.89
			Fuel, Sept '19	7071 · Fuel	Tiburon;Paradise Cove	-16.88
			Fuel, Sept '19	7071 · Fuel	Tiburon	-429.92
			Fuel, Aug '19	7071 · Fuel	Belvedere	-212.05
			Fuel, Aug '19	7071 · Fuel	Tiburon;Paradise Cove	-14.27
			Fuel, Aug '19	7071 · Fuel	Tiburon	-363.35
TOTAL						-1,287.36
10/17/19	7186	Verizon Wireless	Acct #0342125502-00001: iPhones, Oct-Nov '19	JP Morgan Chase - Primary 7399		
			Inv #9839648290: Equipment charges(w/ data plan \$55.41), 10.9.18 - 11.8.19	8531 · Main Plant Telephones	Belvedere	-19.93
			Inv #9839648290: Equipment charges(w/ data plan \$55.41), 10.9.18 - 11.8.19	8532 · Paradise Cove Telephones	Tiburon;Paradise Cove	-1.34
			Inv #9839648290: Equipment charges(w/ data plan \$55.41), 10.9.18 - 11.8.19	8531 · Main Plant Telephones	Tiburon	-34.14
			Inv #9839648290: Monthly Charges (\$303.60)	8531 · Main Plant Telephones	Belvedere	-109.17
			Inv #9839648290: Monthly Charges (\$303.60)	8532 · Paradise Cove Telephones	Tiburon;Paradise Cove	-7.35
			Inv #9839648290: Taxes, Gov't Surcharges & Fees	8531 · Main Plant Telephones	Tiburon	-187.08
			Inv #9839648290: Taxes, Gov't Surcharges & Fees	8532 · Paradise Cove Telephones	Belvedere	-10.62
			Inv #9839648290: Taxes, Gov't Surcharges & Fees	8531 · Main Plant Telephones	Tiburon;Paradise Cove	-0.71
			Inv #9839648290: Taxes, Gov't Surcharges & Fees	8531 · Main Plant Telephones	Tiburon	-18.20
TOTAL						-388.54
11/14/19	7187	Access Answering Service	Acct #4080C, Answering Service, Nov '19	JP Morgan Chase - Primary 7399		
			Inv #21101, Answering Service, November '19 - SSO & Alarm Notifications	8510 · Data/Alarms/IT Supp & Licensing	Belvedere	-21.58
			Inv #21101, Answering Service, November '19 - SSO & Alarm Notifications	8510 · Data/Alarms/IT Supp & Licensing	Tiburon;Paradise Cove	-1.45
			Inv #21101, Answering Service, November '19 - SSO & Alarm Notifications	8510 · Data/Alarms/IT Supp & Licensing	Tiburon	-36.97
TOTAL						-60.00
11/14/19	7188	Alhambra	Acct #547945811762129, Water, Sept-Oct '19	JP Morgan Chase - Primary 7399		
			Inv #12012314 10/18/19 Water, 9.19.19 - 10.16.19	7023 · Janitorial Supplies & Service	Belvedere	-54.26
			Inv #12012314 10/18/19 Water, 9.19.19 - 10.16.19	7042 · Paradise Supplies & Chemicals	Tiburon;Paradise Cove	-3.65
			Inv #12012314 10/18/19 Water, 9.19.19 - 10.16.19	7023 · Janitorial Supplies & Service	Tiburon	-92.99
TOTAL						-150.90
11/14/19	7189	AT&T	Acct #960732-76375559, Oct-Nov '19	JP Morgan Chase - Primary 7399		

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11/14/19			PC Plant Telephones, 10.28.19 - 11.27.19 PC Pumps & Lines Telephones, 10.28.19 - 11.27.19 Tib Pumps & Lines Telephones, 10.28.19 - 11.27.19	8532 - Paradise Cove Telephones 8533 - Pumps & Lines Telephones 8533 - Pumps & Lines Telephones	Tiburon:Paradise Cove Tiburon:Paradise Cove Tiburon	-291.97 -173.48 -305.32
	TOTAL					-770.77
11/14/19	7190	Banshee Networks, Inc.	Computer/IT Support, Sept - Oct '19	JP Morgan Chase - Primary 7399		
			Inv #14025, #14072, Monthly System back-ups, Maint. & Troubleshooting, S... Inv #14025, #14072, Monthly System back-ups, Maint. & Troubleshooting, S... Inv #14025, #14072, Monthly System back-ups, Maint. & Troubleshooting, S...	8510 - Data/Alarms/IT Supp & Licensing 8510 - Data/Alarms/IT Supp & Licensing 8510 - Data/Alarms/IT Supp & Licensing	Belvedere Tiburon:Paradise Cove Tiburon	-2,384.98 -160.50 -4,086.84
	TOTAL					-8,632.32
11/14/19	7191	Breije and Race Laboratories, Inc.	M.P./P.C. Plant Samples, Nov '19	JP Morgan Chase - Primary 7399		
			Inv #122934, M.P. samples for November '19 Inv #122934, M.P. samples for November '19 Inv #122934, M.P. samples for November '19	7051 - Main Plant Lab Monitoring 7052 - Paradise Cove Monitoring 7051 - Main Plant Lab Monitoring	Belvedere Tiburon:Paradise Cove Tiburon	-399.82 -225.00 -685.18
	TOTAL					-1,310.00
11/14/19	7192	Burke, Williams & Sorensen, LLP	Legal Advice, Sept '19	JP Morgan Chase - Primary 7399		
			Inv #246799, SD5 DCS, Sept '19 Inv #246799, SD5 DCS, Sept '19 Inv #246799, SD5 DCS, Sept '19 Inv #246799, Tib BTL, Sept '19 Inv #246799, Tib VW, Sept '19	6039 - Legal 6039 - Legal 6039 - Legal 6039 - Legal 6039 - Legal	Belvedere Tiburon:Paradise Cove Tiburon Tiburon Tiburon	-51.78 -3.48 -88.73 -661.51 -189.00
	TOTAL					-994.50
11/14/19	7193	California State Disbursement Unit	CSE Case# 200000002184580; Court Case# SFL 81271, Oct - Nov '19	JP Morgan Chase - Primary 7399		
			CSE Case# 200000002184580; Court Case# SFL 81271	8012 - Wage Garnishments	Tiburon	-600.00
	TOTAL					-600.00
11/14/19	7194	Caltest Analytical Laboratory	M.P./P.C. Lab Sampling, Sept - Oct '19	JP Morgan Chase - Primary 7399		
			Inv #3087, #3378, #3873, #4201, #3952, #4162, #4294, M.P. Testing, 9.3.19... Inv #3568, #3378, P.C. Testing, 10.1.19 Inv #3087, #3379, #3873, #4201, #3952, #4162, #4294, M.P. Testing, 9.3.19...	7051 - Main Plant Lab Monitoring 7052 - Paradise Cove Monitoring 7051 - Main Plant Lab Monitoring	Belvedere Tiburon:Paradise Cove Tiburon	-678.81 -500.85 -1,163.29
	TOTAL					-2,342.75
11/14/19	7195	Caltronics Business Systems, Inc.	Acct #SD15, Multi-purpose Copier Contract Fee, Oct '19	JP Morgan Chase - Primary 7399		
			Inv #2900412, Konica Multi-purpose copier contract, 10.2.19 - 11.1.19 Inv #2900412, Konica Multi-purpose copier contract, 10.2.19 - 11.1.19 Inv #2900412, Konica Multi-purpose copier contract, 10.2.19 - 11.1.19	6047 - Office Supplies 6047 - Office Supplies 6047 - Office Supplies	Belvedere Tiburon:Paradise Cove Tiburon	-77.20 -5.20 -132.28
	TOTAL					-214.68

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11/14/19	7196	Center For Hearing Health, Inc.	Annual on-site hearing tests, Nov '19	JP Morgan Chase - Primary 7399		
			Inv #17627, Annual on-site hearing tests, 10.23.19	8515 - Safety	Belvedere	-204.97
			Inv #17627, Annual on-site hearing tests, 10.23.19	8515 - Safety	Tiburon:Paradise Cove	-13.79
			Inv #17627, Annual on-site hearing tests, 10.23.19	8515 - Safety	Tiburon	-351.24
	TOTAL					-570.00
11/14/19	7197	Comcast Business	Acct# 8155 30 011 0149485, Bus. Voice, Internet & Cable, Nov - Dec 19	JP Morgan Chase - Primary 7399		
			Bundle: Cable (\$89.55), 11.12.19 - 12.11.19	8510 - Data/Alarms/IT Supp & Licensing	Belvedere	-32.20
			Bundle: Cable (\$89.55), 11.12.19 - 12.11.19	8510 - Data/Alarms/IT Supp & Licensing	Tiburon:Paradise Cove	-2.17
			Bundle: Cable (\$89.55), 11.12.19 - 12.11.19	8510 - Data/Alarms/IT Supp & Licensing	Tiburon	-55.18
			Bundle: Internet (\$122.03), 11.12.19 - 12.11.19	8510 - Data/Alarms/IT Supp & Licensing	Belvedere	-43.98
			Bundle: Internet (\$122.03), 11.12.19 - 12.11.19	8510 - Data/Alarms/IT Supp & Licensing	Tiburon:Paradise Cove	-2.95
			Bundle: Internet (\$122.03), 11.12.19 - 12.11.19	8510 - Data/Alarms/IT Supp & Licensing	Tiburon	-75.19
			Bundle: Land Line Phones (\$342.37), 11.12.19 - 12.11.19	8531 - Main Plant Telephones	Belvedere	-123.12
			Bundle: Land Line Phones (\$342.37), 11.12.19 - 12.11.19	8532 - Paradise Cove Telephones	Tiburon:Paradise Cove	-8.29
			Bundle: Land Line Phones (\$342.37), 11.12.19 - 12.11.19	8531 - Main Plant Telephones	Tiburon	-210.97
			Bundle: Taxes & Fees (< \$10 - varies/mo), 11.12.19 - 12.11.19	8510 - Data/Alarms/IT Supp & Licensing	Belvedere	-1.28
			Bundle: Taxes & Fees (< \$10 - varies/mo), 11.12.19 - 12.11.19	8510 - Data/Alarms/IT Supp & Licensing	Tiburon:Paradise Cove	-0.09
			Bundle: Taxes & Fees (< \$10 - varies/mo), 11.12.19 - 12.11.19	8510 - Data/Alarms/IT Supp & Licensing	Tiburon	-2.19
			Bundle: Taxes & Fees (< \$10 - varies/mo), 11.12.19 - 12.11.19	8531 - Main Plant Telephones	Belvedere	-1.28
			Bundle: Taxes & Fees (< \$10 - varies/mo), 11.12.19 - 12.11.19	8532 - Paradise Cove Telephones	Tiburon:Paradise Cove	-0.09
			Bundle: Taxes & Fees (< \$10 - varies/mo), 11.12.19 - 12.11.19	8531 - Main Plant Telephones	Tiburon	-2.19
	TOTAL					-561.07
11/14/19	7198	Cornely Company	Cust ID: SANDIST, M.P. Boiler Repair, Jul '19	JP Morgan Chase - Primary 7399		
			Inv #INV-17350, M. P. Maint - Boiler Repair, 7.31.19	9204 - M.P. Boiler Replacement	Belvedere	-507.80
			Inv #INV-17350, M. P. Maint - Boiler Repair, 7.31.19	9204 - M.P. Boiler Replacement	Tiburon	-870.23
	TOTAL					-1,378.03
11/14/19	7199	CWEA	T Rubio #395321, Dec '19 (AJE FY20-21)	JP Morgan Chase - Primary 7399		
			T Rubio #395321, Collxn Sys Maint + Plant Maint Tech Cert Renewals, 12.1.19...	6025 - Dues & Subscriptions	Belvedere	-32.00
			T Rubio #395321, Collxn Sys Maint + Plant Maint Tech Cert Renewals, 12.1.19...	6025 - Dues & Subscriptions	Tiburon:Paradise Cove	-2.15
			T Rubio #395321, Collxn Sys Maint + Plant Maint Tech Cert Renewals, 12.1.19...	6025 - Dues & Subscriptions	Tiburon	-54.85
			T Rubio #395321, Collxn Sys Maint + Plant Maint Tech Cert Renewals, 7.1.19...	6025 - Dues & Subscriptions	Belvedere	-32.00
			T Rubio #395321, Collxn Sys Maint + Plant Maint Tech Cert Renewals, 7.1.19...	6025 - Dues & Subscriptions	Tiburon:Paradise Cove	-2.15
			T Rubio #395321, Collxn Sys Maint + Plant Maint Tech Cert Renewals, 7.1.19...	6025 - Dues & Subscriptions	Tiburon	-54.85
	TOTAL					-178.00
11/14/19	7200	DKF Solutions Group, LLC	My Safety Officer Monthly Subscription, Nov '19	JP Morgan Chase - Primary 7399		
			Inv #14784, My Safety Officer Monthly Subscription Fee, Nov '19	8515 - Safety	Belvedere	-125.86
			Inv #14784, My Safety Officer Monthly Subscription Fee, Nov '19	8515 - Safety	Tiburon:Paradise Cove	-8.47
			Inv #14784, My Safety Officer Monthly Subscription Fee, Nov '19	8515 - Safety	Tiburon	-215.67
	TOTAL					-350.00

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11/14/19	7201	East Bay Muni Utility District	Cust #SAD13002, BACWA Membership & Prog. Fees for FY18-19, Oct '19	JP Morgan Chase - Primary 7399		
			Inv #EBM-BDO-01205, FY2019 BACWA Membership Contributions (Permit R...	7082 · Permits/Fees - General	Belvedere	-1,593.71
			Inv #EBM-BDO-01205, FY2019 BACWA Membership Contributions (Permit R...	7083 · Paradise Cove Permits/Fees	Tiburon:Paradise Cove	-107.25
			Inv #EBM-BDO-01205, FY2019 BACWA Membership Contributions (Permit R...	7082 · Permits/Fees - General	Tiburon	-2,730.94
			Inv #EBM-BDO-01205, FY2019 BACWA Membership Contributions (Permit R...	6059 · Pollution Prevention/Public Edu	Belvedere	-281.24
			Inv #EBM-BDO-01205, FY2019 BACWA Membership Contributions (Permit R...	6059 · Pollution Prevention/Public Edu	Tiburon:Paradise Cove	-18.93
			Inv #EBM-BDO-01205, FY2019 BACWA Membership Contributions (Permit R...	6059 · Pollution Prevention/Public Edu	Tiburon	-481.93
			TOTAL			-5,214.00
11/14/19	7202	Eaton Corporation	ID#:341599, M.P. Parts & Svc, Oct '19	JP Morgan Chase - Primary 7399		
			Inv #52552288, M.P. Parts & Svc, Generator Repair, 10.28.19	7022 · Plant Maint. Parts & Service	Belvedere	-737.00
			Inv #52552288, M.P. Parts & Svc, Generator Repair, 10.28.19	7022 · Plant Maint. Parts & Service	Tiburon	-1,263.00
			TOTAL			-2,000.00
11/14/19	7203	Goodman Building Supply Co.	Acct #20070, P&L, M.P. Maint, Oct '19	JP Morgan Chase - Primary 7399		
			Inv #787700, #787502, BPS & TPS Supplies, 10.9.19, 10.11.19	7011 · Pumps & Lines Maintenance	Belvedere	-58.48
			Inv #787700, #787502, BPS & TPS Supplies, 10.9.19, 10.11.19	7011 · Pumps & Lines Maintenance	Tiburon	-100.23
			Inv #787700, #788549, #789129, #788693, 10.11.19, 10.23.19, 10...	7021 · Plant Maintenance Supplies	Belvedere	-110.32
			Inv #787700, #788549, #788550, #789129, #788693, 10.11.19, 10.23.19, 10...	7021 · Plant Maintenance Supplies	Tiburon	-189.05
			Credit for Overpayment due to Credit Card Charge vs House Charge acct	7011 · Pumps & Lines Maintenance	Belvedere	13.04
			Credit for Overpayment due to Credit Card Charge vs House Charge acct	7011 · Pumps & Lines Maintenance	Tiburon	22.36
			Credit for Non-SD5 charge	7021 · Plant Maintenance Supplies	Belvedere	18.02
			Credit for Non-SD5 charge	7021 · Plant Maintenance Supplies	Tiburon	30.87
			TOTAL			-373.79
11/14/19	7204	Grainger	Acct #810128785, MP Maint. Supplies, Oct '19	JP Morgan Chase - Primary 7399		
			Inv #9318610715, P.C. Maintenance, 10.9.19	7041 · Paradise Parts & Service	Tiburon:Paradise Cove	-895.42
			TOTAL			-895.42
11/14/19	7205	Hach Company	Acct #108400, Lab Supplies, Oct '19	JP Morgan Chase - Primary 7399		
			Inv #1674409, Lab Supplies, Chlorine Rgt., 10.9.19	7025 · Lab Supplies & Chemicals	Belvedere	-250.77
			Inv #1674409, Lab Supplies, Chlorine Rgt., 10.9.19	7025 · Lab Supplies & Chemicals	Tiburon	-429.74
			TOTAL			-680.51
11/14/19	7206	Herc Rentals, Inc.	Cust #1580740, M.P. Parts & svc, Nov '19	JP Morgan Chase - Primary 7399		
			Inv #31134803-001, Rammer Jumping Jack for M.P., 11.7.19	7022 · Plant Maint. Parts & Service	Belvedere	-26.41
			Inv #31134803-001, Rammer Jumping Jack for M.P., 11.7.19	7022 · Plant Maint. Parts & Service	Tiburon	-45.25
			TOTAL			-71.66
11/14/19	7207	Home Depot Credit Services	Acct #6035 3220 0516 4334, P&L, M.P. Maint., Oct '19	JP Morgan Chase - Primary 7399		

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11/14/19						
			M.P. Maintenance Supplies, (Office sink), 10.23.19 M.P. Maintenance Supplies, (Office sink), 10.23.19	7021 · Plant Maintenance Supplies 7021 · Plant Maintenance Supplies	Belvedere Tiburon	-31.90 -54.68
TOTAL						-86.58
11/14/19	7208	Ken Grady Company, Inc.	SD5 P&L, Sept '19	JP Morgan Chase - Primary 7399		
			Inv #3771, Range Transducers, 9.23.19 Inv #3771, Range Transducers, 9.23.19	7011 · Pumps & Lines Maintenance 7011 · Pumps & Lines Maintenance	Belvedere Tiburon:Paradise Cove	-3,839.60 -1,073.73
TOTAL						-4,913.33
11/14/19	7209	Linscott Engineering Contractors...	M.P. P&L, Oct '19	JP Morgan Chase - Primary 7399		
			Inv #3383, M.P. Clarifier Valve Repair, 10.17.19 Inv #3383, M.P. Clarifier Valve Repair, 10.17.19	9214 · Underground Pipe & Valve Rplcmt 9214 · Underground Pipe & Valve Rplcmt	Belvedere Tiburon	-3,294.57 -5,645.92
TOTAL						-8,940.49
11/14/19	7210	Maltby Electric Supply Co., Inc.	Cust No.15953, BPS P&L, Oct '19	JP Morgan Chase - Primary 7399		
			S1882750.001-003, #S1882894.001, #S1883148.001-002, BPS Electrical Up... S1882750.001-003, #S1882894.001, #S1883148.001-002, BPS Electrical Up...	7011 · Pumps & Lines Maintenance 7027 · Electrical & Instrument	Belvedere Belvedere	-374.53 -374.53
TOTAL						-749.06
11/14/19	7211	Marin Municipal Water District	Water, Aug - Oct '19	JP Morgan Chase - Primary 7399		
			Cust #424791, Cove Rd. BPS - Water, 8.6.19 - 10.7.19 Cust #424793, Golden Gate BPS - Water, 8.6.19 - 10.7.19 Cust #138856, Mar West TPS - Water, 8.6.19 - 10.7.19 Cust #100098, M.P. - Water, 8.6.19 - 10.7.19 Cust #100098, M.P. - Water, 8.6.19 - 10.7.19	8541 · Water 8541 · Water 8541 · Water 8541 · Water 8541 · Water	Belvedere Belvedere Tiburon Belvedere Tiburon	-77.20 -77.20 -85.38 -419.48 -718.86
TOTAL						-1,378.12
11/14/19	7212	Medical Center of Marin, ES A/R	Acct #SDN5_B1, J Alvarez, Aug - Sept '19	JP Morgan Chase - Primary 7399		
			Inv #00122066-00, Employee Health: Pre-EE Phys + Hep B Vacc. Injection 1 ... Inv #00122066-00, Employee Health: Pre-EE Phys + Hep B Vacc. Injection 1 ... Inv #00122066-00, Employee Health: Pre-EE Phys + Hep B Vacc. Injection 1 ...	8020.05 · Employee Health 8020.05 · Employee Health 8020.05 · Employee Health	Belvedere Tiburon:Paradise Cove Tiburon	-98.53 -6.63 -168.84
TOTAL						-274.00
11/14/19	7213	MidAmerica	Acct#: SDSMARIN065, 2019 Rates, Jan - Jun '20	JP Morgan Chase - Primary 7399		
			(2020 Rates) HRA Retiree Health Reimbursements for Jan - Jun '19 - Trust F... (2020 Rates) HRA Retiree Health Reimbursements for Jan - Jun '19 - Trust F... (2020 Rates) HRA Retiree Health Reimbursements for Jan - Jun '19 - Trust F...	8022.05 · Retiree Health 8022.05 · Retiree Health 8022.05 · Retiree Health	Belvedere Tiburon:Paradise Cove Tiburon	-12,172.32 -819.16 -20,858.12
TOTAL						-33,849.60

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Date	Num	Name	Memo	Account	Class	Paid Amount
11/14/19	7214	North Bay Petroleum	Generator Fuel for Work done at FY19-20 Viztazo West Washout Final Re...	JP Morgan Chase - Primary 7399		
			Inv #1181405, Generator Fuel for Work done at FY19-20 Viztazo West Wash...	7071 • Fuel	Tiburon	-1,636.26
						-1,636.26
11/14/19	7215	NSI Lab Solutions, Inc.	Cust#21528, Lab Chemicals, Jun '19	JP Morgan Chase - Primary 7399		
			Inv #371571, Lab Chemicals, 4 cases @ 24 Ampules Chlorine Conc, 1.00 mg...	7025 • Lab Supplies & Chemicals	Belvedere Tiburon	-101.34
			Inv #367328, Lab Chemicals, 4 cases @ 24 Ampules Chlorine Conc, 1.00 mg...	7025 • Lab Supplies & Chemicals		-173.66
						-275.00
11/14/19	7216	Pacific Gas & Electric	Acct #2908031411-4, Utilities, Sept - Oct '19	JP Morgan Chase - Primary 7399		
			Acct #2908031411-4, Main Plant Utilities, 9.22.98 - 10.21.19	8542 • Main Plant Utilities	Belvedere	-6,840.10
			Acct #2908031411-4, P.C. Plant Utilities, 9.22.98 - 10.21.19	8543 • Paradise Cove Utilities	Tiburon:Paradise Cove	-1,399.93
			Acct #2908031411-4, Main Plant Utilities, 9.22.98 - 10.21.19	8542 • Main Plant Utilities	Tiburon	-11,721.91
			Acct #2908031411-4, Belv Pump St Utilities, 9.22.98 - 10.21.19	8544 • Pump Station Utilities	Belvedere	-1,020.69
			Acct #2908031411-4, P.C. Pump St Utilities, 9.22.98 - 10.21.19	8544 • Pump Station Utilities	Tiburon:Paradise Cove	-217.21
			Acct #2908031411-4, Tib Pump St Utilities, 9.22.98 - 10.21.19	8544 • Pump Station Utilities	Tiburon	-1,604.87
						-22,794.71
11/14/19	7217	Perotti & Carrade	Client #1901 - Field Audit Services, Nov '19	JP Morgan Chase - Primary 7399		
			PP#2 for FY19-20 Audit Services: Inv #91088, Field Audit svcs, Nov '19	6008 • Audit & Accounting	Belvedere	-1,798.00
			PP#2 for FY19-20 Audit Services: Inv #91088, Field Audit svcs, Nov '19	6008 • Audit & Accounting	Tiburon:Paradise Cove	-121.00
			PP#2 for FY19-20 Audit Services: Inv #91088, Field Audit svcs, Nov '19	6008 • Audit & Accounting	Tiburon	-3,081.00
						-5,000.00
11/14/19	7218	Regional Monitoring Program clo ...	M.P. + P.C. Permit/Core+AMR Fees - RMP 2020 (AJE FY20-21)	JP Morgan Chase - Primary 7399		
			Inv #3020301, 2020 Annual Fee (POWTs) - Regional Monitoring Program for ...	7062 • Permits/Fees - General	Belvedere	-2,198.29
			Inv #3019301, 2020 Annual Fee (POWTs) - Regional Monitoring Program for ...	7062 • Permits/Fees - General	Tiburon	-3,787.21
			Inv #3019301, 2020 Annual Fee (POWTs) - Regional Monitoring Program for ...	7062 • Permits/Fees - General	Belvedere	-2,198.29
			Inv #3019301, 2020 Annual Fee (POWTs) - Regional Monitoring Program for ...	7062 • Permits/Fees - General	Tiburon	-3,787.21
			Inv #3020801, 2020 Annual Coverage for H2o @ P.C. Plant, 1.1.20 - 6.30.20	7063 • Paradise Cove Permits/Fees	Tiburon:Paradise Cove	-92.00
			Inv #3020801, 2020 Annual Coverage for H2o @ P.C. Plant, 1.1.20 - 6.30.20	7063 • Paradise Cove Permits/Fees	Tiburon:Paradise Cove	-92.00
						-12,115.00
11/14/19	7219	Robert L Talavera, LLC	SSGIS ArcView Support, Sept - Oct '19	JP Morgan Chase - Primary 7399		
			Inv #RLT1019F1, SSGIS ArcView Support - Upload pipe history data, install, t...	8510 • Data/Alarms/IT Supp & Licensing	Belvedere	-112.38
			Inv #RLT1019F1, SSGIS ArcView Support - Upload pipe history data, install, t...	8510 • Data/Alarms/IT Supp & Licensing	Tiburon:Paradise Cove	-7.56
			Inv #RLT1019F1, SSGIS ArcView Support - Upload pipe history data, install, t...	8510 • Data/Alarms/IT Supp & Licensing	Tiburon	-192.56
						-312.50
11/14/19	7220	Roy's Sewer Service, Inc.	Belv P&L, Sept - Oct '19	JP Morgan Chase - Primary 7399		

## 11/14/19

Date	Num	Memo	Account	Class	Paid Amount
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11/14/19			#8545: WorkWorld - PPE/Workpants (D Swett), 10.2.19	8520 · Personal Protection/Safety Wear	Tiburon	-190.05
						-9,685.02
11/14/19	7223	Univar	Cust ID #STD001, Chemicals, Oct '19	JP Morgan Chase - Primary 7399		
			Inv #SJ967639, Sodium Bisulfite 25% (\$1.163/Gal), 10.16.19	7024 · Main Plant Chemicals	Belvedere	-2,039.05
			Inv #SJ967639, Sodium Bisulfite 25% (\$1.163/Gal), 10.16.19	7042 · Paradise Supplies & Chemicals	Tiburon:Paradise Cove	-137.22
			Inv #SJ967639, Sodium Bisulfite 25% (\$1.163/Gal), 10.16.19	7024 · Main Plant Chemicals	Tiburon	-3,494.07
			Inv #SJ970108, Sodium Hypochlorite 12.5% (\$0.7001/Gal), 10.31.19	7024 · Main Plant Chemicals	Belvedere	-694.04
			Inv #SJ970108, Sodium Hypochlorite 12.5% (\$0.7001/Gal), 10.31.19	7042 · Paradise Supplies & Chemicals	Tiburon:Paradise Cove	-46.71
			Inv #SJ970108, Sodium Hypochlorite 12.5% (\$0.7001/Gal), 10.31.19	7024 · Main Plant Chemicals	Tiburon	-1,189.28
						-7,600.37
11/14/19	7224	USA BlueBook	Cust #933682, Safety & M.P. Supplies, Oct '19	JP Morgan Chase - Primary 7399		
			Inv #041668, Lab Supplies - Feed pump + "Big Blue" Bio Block, 10.17.19	7025 · Lab Supplies & Chemicals	Belvedere	-680.55
			Inv #041668, Lab Supplies - Feed pump + "Big Blue" Bio Block, 10.17.19	7025 · Lab Supplies & Chemicals	Tiburon	-1,166.27
			Inv #051947, Safety Supplies - Locks, etc., 10.29.19	8515 · Safety	Belvedere	-66.88
			Inv #051947, Safety Supplies - Locks, etc., 10.29.19	8515 · Safety	Tiburon	-118.05
			Inv #032422, M.P. Supplies - Pumps & Speed Controls, 10.8.19	7021 · Plant Maintenance Supplies	Belvedere	-1,842.60
			Inv #032422, M.P. Supplies - Pumps & Speed Controls, 10.8.19	7021 · Plant Maintenance Supplies	Tiburon	-2,814.94
						-6,491.29
11/14/19	7225	Waste Management of Redwood ...	Acct #507-0000190-1507-2, Sludge Disposal, Oct '19	JP Morgan Chase - Primary 7399		
			Inv #0098555-1507-9 Sludge Disposal - 3 pick-ups, 17.28 tons, Oct '19	7029 · Main Plant Sludge Disposal	Belvedere	-242.56
			Inv #0098555-1507-9 Sludge Disposal - 3 pick-ups, 17.28 tons, Oct '19	7043 · Paradise Sludge Disposal	Tiburon:Paradise Cove	-16.32
			Inv #0098555-1507-9 Sludge Disposal - 3 pick-ups, 17.28 tons, Oct '19	7029 · Main Plant Sludge Disposal	Tiburon	-415.84
						-674.52
11/14/19	7226	Water Components & Building Su...	Acct #454, Tib P&L - Vistazo West Washout, Oct '19	JP Morgan Chase - Primary 7399		
			Inv #30511534, P&L for Vistazo West Washout, 10.4.19	7011 · Pumps & Lines Maintenance	Tiburon	-1,268.87
						-1,268.87
11/14/19	7227	Wonderware NorCal	Cust #SANI5, SCADA Sys. Maint. & IT Support Contract Renewal, Dec '19...	JP Morgan Chase - Primary 7399		
			Quote#224918.2 - Site ID#118116, SCADA System Maintenance & IT Support...	8510 · Data/Alarms/IT Supp & Licensing	Belvedere	-173.06
			Quote#224918.2 - Site ID#118116, SCADA System Maintenance & IT Support...	8510 · Data/Alarms/IT Supp & Licensing	Tiburon:Paradise Cove	-11.65
			Quote#224918.2 - Site ID#118116, SCADA System Maintenance & IT Support...	8510 · Data/Alarms/IT Supp & Licensing	Tiburon	-296.54
			Quote#224918.2 - Site ID#118116, SCADA System Maintenance & IT Support...	8510 · Data/Alarms/IT Supp & Licensing	Belvedere	-123.61
			Quote#224918.2 - Site ID#118116, SCADA System Maintenance & IT Support...	8510 · Data/Alarms/IT Supp & Licensing	Tiburon:Paradise Cove	-8.32
			Quote#224918.2 - Site ID#118116, SCADA System Maintenance & IT Support...	8510 · Data/Alarms/IT Supp & Licensing	Tiburon	-211.82
						-825.00
11/14/19	7228	WorkSmart Automation, Inc.	SD5 Comm System Maintenance, Oct '19	JP Morgan Chase - Primary 7399		

**Sanitary Distr. No.5 of Marin Co.  
Warrant List Detail**

October 11 through November 14, 2019

Date	Num	Name	Memo	Account	Class	Paid Amount
11/14/19			Inv #4916, Kepware Modbus Suite - Support & Maintenance Agrmnt., 11.1.19	9310 - BPS Communication Project	Belvedere	-83.28
			Inv #4916, Kepware Modbus Suite - Support & Maintenance Agrmnt., 11.1.19	9315 - TPS Communication Project	Tiburon	-142.72
						-226.00
11/14/19	7229	Glosage Engineering, Inc.	2019 Sewer Rehab Project, Belv, Progress Pymnt #3, Nov '19	JP Morgan Chase - Primary 7399		
			SD5 2019 Sewer Rehab Project, Progress Payment #3 - Belvedere, (47.5%), ...	9304 - Belvedere Sewer Line Rehab Prog	Belvedere	-223,770.68
			5% Retention re Progress Payment #2, thru 10.31.19 - Belvedere, (47.5%), th...	Retainage Payable	Belvedere	29,837.98
			SD5 2019 Sewer Rehab Project, Progress Payment #3 - Tiburon (52.5%), th...	9301 - Tiburon Sewer Line Rehab Prog	Tiburon	-247,325.48
			5% Retention re Progress Payment #2, thru 10.31.19 - Tiburon (52.5%), thru...	Retainage Payable	Tiburon	32,978.82
						-408,279.36
11/14/19	7230	Bilsborough, Chad	Multiple Travel Exp. Reimb. for C Bilsborough, Oct '19	JP Morgan Chase - Primary 7399		
			Reimb. for Cont Ed., WWTP Systems - Ops & Maint, Sac State Course Com...	6020 - Continuing Education	Belvedere	-68.09
			Reimb. for Cont Ed., WWTP Systems - Ops & Maint, Sac State Course Com...	6020 - Continuing Education	Tiburon:Paradise Cove	-3.91
			Reimb. for Cont Ed., WWTP Systems - Ops & Maint, Sac State Course Com...	6020 - Continuing Education	Tiburon	-99.53
			Reimb. for CMEA Certification Testing, Colton Sys Maint, Grade I, Completed...	6025 - Dues & Subscriptions	Belvedere	-87.10
			Reimb. for CMEA Certification Testing, Colton Sys Maint, Grade I, Completed...	6025 - Dues & Subscriptions	Tiburon:Paradise Cove	-4.52
			Reimb. for PG&E PSPS Outage, 10.27.19 - 10.29.19	6025 - Dues & Subscriptions	Tiburon	-114.98
			Reimb. for PG&E PSPS Outage, 10.27.19 - 10.29.19	6018.2 - Standby Mileage Expense Reimb	Belvedere	-17.52
			Reimb. for PG&E PSPS Outage, 10.27.19 - 10.29.19	6018.2 - Standby Mileage Expense Reimb	Tiburon:Paradise Cove	-1.18
			Meals/diem for PG&E PSPS Outage, 10.27.19 - 10.29.19	6018.2 - Standby Mileage Expense Reimb	Tiburon	-30.02
			Meals/diem for PG&E PSPS Outage, 10.27.19 - 10.29.19	6018.1 - Meetings & Travel	Belvedere	-48.55
			Meals/diem for PG&E PSPS Outage, 10.27.19 - 10.29.19	6018.1 - Meetings & Travel	Tiburon:Paradise Cove	-3.27
				6018.1 - Meetings & Travel	Tiburon	-83.18
						-531.85
11/14/19	7231	Cottrell, Rulon	Multiple Travel Exp. Reimb. for R Cottrell, Jun - Oct '19	JP Morgan Chase - Primary 7399		
			Standby Mileage Reimb, 5.23.19 - 6.27.19 - FY18-19 (FY18-19)	6018.2 - Standby Mileage Expense Reimb	Belvedere	-84.57
			Standby Mileage Reimb, 5.23.19 - 6.27.19 - FY18-19 (FY18-19)	6018.2 - Standby Mileage Expense Reimb	Tiburon:Paradise Cove	-4.35
			Standby Mileage Reimb, 5.23.19 - 6.27.19 - FY18-19 (FY18-19)	6018.2 - Standby Mileage Expense Reimb	Tiburon	-110.85
			Standby Mileage Reimb, 8.15.19 - 10.15.19 - FY19-20 (FY19-20)	6018.2 - Standby Mileage Expense Reimb	Belvedere	-50.81
			Standby Mileage Reimb, 8.15.19 - 10.15.19 - FY19-20 (FY19-20)	6018.2 - Standby Mileage Expense Reimb	Tiburon:Paradise Cove	-3.42
			Standby Mileage Reimb, 8.15.19 - 10.15.19 - FY19-20 (FY19-20)	6018.2 - Standby Mileage Expense Reimb	Tiburon	-87.06
			Meals/diem for PG&E PSPS Outage, 10.28.19 - 10.29.19	6018.1 - Meetings & Travel	Belvedere	-32.36
			Meals/diem for PG&E PSPS Outage, 10.28.19 - 10.29.19	6018.1 - Meetings & Travel	Tiburon:Paradise Cove	-2.18
			Meals/diem for PG&E PSPS Outage, 10.28.19 - 10.29.19	6018.1 - Meetings & Travel	Tiburon	-55.46
						-410.86
11/14/19	7232	Dohrmann, Robin	Multiple Travel Exp. Reimb. for R Dohrmann Oct '19	JP Morgan Chase - Primary 7399		
			Reimb for Parking @ CalPERS' Educational Forum, 2020 (Oakland, 10.28.19...	6018.1 - Meetings & Travel	Belvedere	-28.05
			Reimb for Parking @ CalPERS' Educational Forum, 2020 (Oakland, 10.28.19...	6018.1 - Meetings & Travel	Tiburon:Paradise Cove	-1.89
			Reimb for Parking @ CalPERS' Educational Forum, 2020 (Oakland, 10.28.19...	6018.1 - Meetings & Travel	Tiburon	-48.05
			Meals/diem for CalPERS' Educational Forum, 2020 (Oakland, 10.28.19 - 10.3...	6018.1 - Meetings & Travel	Belvedere	-48.55
			Meals/diem for CalPERS' Educational Forum, 2020 (Oakland, 10.28.19 - 10.3...	6018.1 - Meetings & Travel	Tiburon:Paradise Cove	-3.27
			Meals/diem for CalPERS' Educational Forum, 2020 (Oakland, 10.28.19 - 10.3...	6018.1 - Meetings & Travel	Tiburon	-83.19

**Sanitary Distr. No.5 of Marin Co.  
Warrant List Detail**

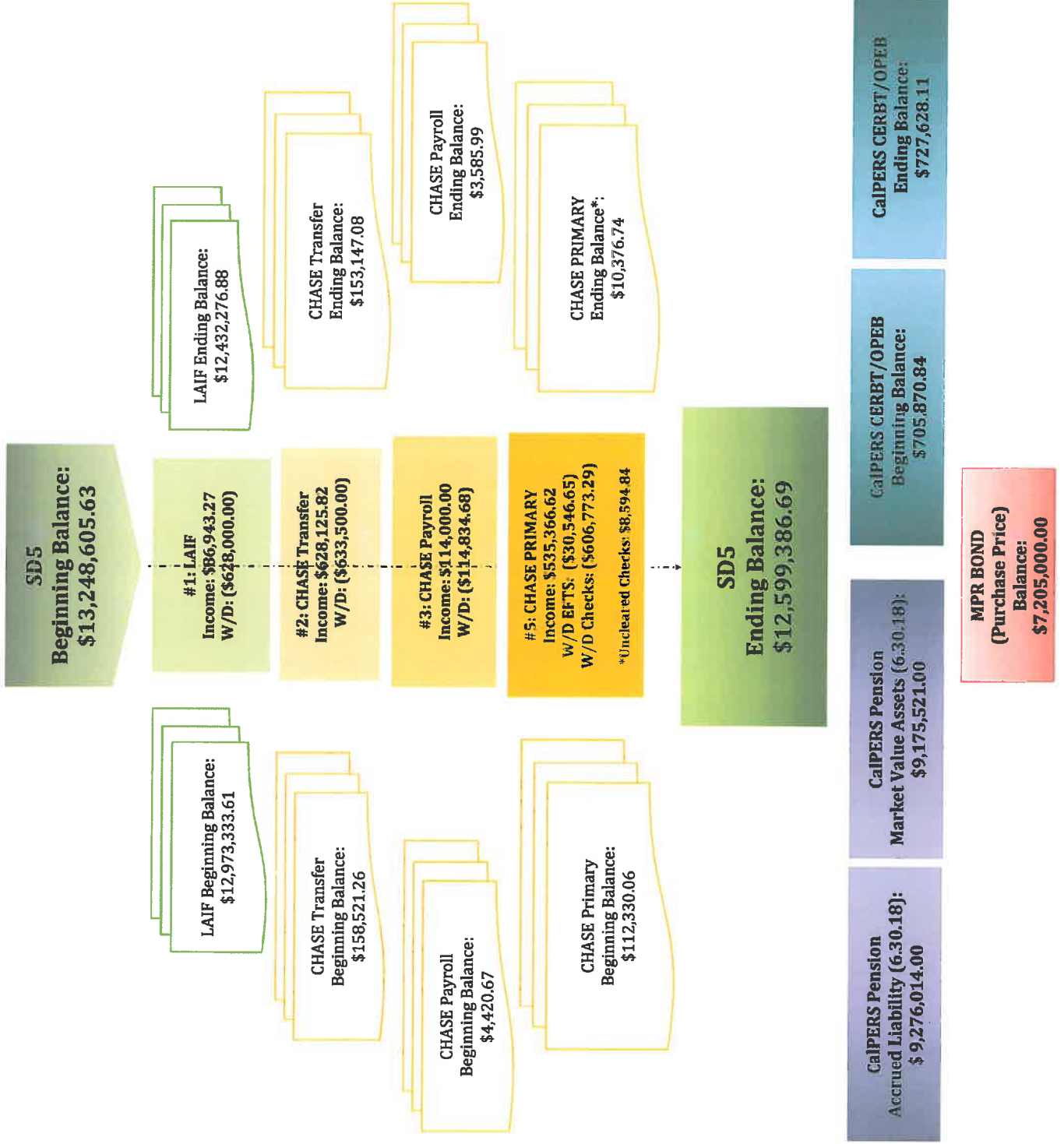
October 11 through November 14, 2019

11/14/19

Date	Num	Name	Memo	Account	Class	Paid Amount
TOTAL						-213.00
11/14/19	7233	La Torre, Daniel P.	Multiple Travel Exp. Reimb. for D LaTorre, Oct '19	JP Morgan Chase - Primary 7399		
			Meals/diem for PG&E PSPS Outage, 10.26.19 - 10.29.19	6018.1 - Meetings & Travel	Belvedere	-64.73
			Meals/diem for PG&E PSPS Outage, 10.26.19 - 10.29.19	6018.1 - Meetings & Travel	Tiburon:Paradise Cove	-4.36
			Meals/diem for PG&E PSPS Outage, 10.26.19 - 10.29.19	6018.1 - Meetings & Travel	Tiburon	-110.91
TOTAL						-180.00
11/14/19	7234	Rosser, John	Multiple Travel + S/B Exp.Reimb. for J Rosser, Oct '19	JP Morgan Chase - Primary 7399		
			Meals/diem for PG&E PSPS Outage, 10.27.19	6018.1 - Meetings & Travel	Belvedere	-16.18
			Meals/diem for PG&E PSPS Outage, 10.27.19	6018.1 - Meetings & Travel	Tiburon:Paradise Cove	-1.09
			Meals/diem for PG&E PSPS Outage, 10.27.19	6018.1 - Meetings & Travel	Tiburon	-27.73
			Beiv Standby Mileage Reim, 9.19.19 - 10.27.19	6018.2 - Standby Mileage Expense Reimb	Belvedere	-101.59
			Tib Standby Mileage Reimb, 9.19.19 - 10.27.19	6018.2 - Standby Mileage Expense Reimb	Tiburon	-304.78
TOTAL						-451.37
11/14/19	7235	Rubio, Antonio	PPE Exp. Reimb. for T Rubio, Oct '19	JP Morgan Chase - Primary 7399		
			Reimb. for PPE/Work pants, 10.1.19	8520 - Personal Protection/Safety Wear	Belvedere	-25.57
			Reimb. for PPE/Work pants, 10.1.19	8520 - Personal Protection/Safety Wear	Tiburon:Paradise Cove	-1.72
			Reimb. for PPE/Work pants, 10.1.19	8520 - Personal Protection/Safety Wear	Tiburon	-43.81
TOTAL						-71.10
11/14/19	7236	Swett, Drake	Multiple Travel + S/B Exp.Reimb. for D Swett, Oct '19	JP Morgan Chase - Primary 7399		
			Meals/diem for PG&E PSPS Outage, 10.26.19 - 10.28.19	6018.1 - Meetings & Travel	Belvedere	-48.55
			Meals/diem for PG&E PSPS Outage, 10.26.19 - 10.28.19	6018.1 - Meetings & Travel	Tiburon:Paradise Cove	-3.27
			Meals/diem for PG&E PSPS Outage, 10.26.19 - 10.28.19	6018.1 - Meetings & Travel	Tiburon	-83.19
			M.P. Standby Mileage Reim, 8.2.19 - 10.27.19	6018.2 - Standby Mileage Expense Reimb	Belvedere	-55.14
			M.P. + Tib Standby Mileage Reimb, 8.2.19 - 10.27.19	6018.2 - Standby Mileage Expense Reimb	Tiburon	-244.13
TOTAL						-434.28
11/14/19	7237	Triola, Joseph	Per Diem Reimb. for J Triola, Oct '19	JP Morgan Chase - Primary 7399		
			Meals/diem for PG&E PSPS Outage, 10.27.19	6018.1 - Meetings & Travel	Belvedere	-16.18
			Meals/diem for PG&E PSPS Outage, 10.27.19	6018.1 - Meetings & Travel	Tiburon:Paradise Cove	-1.09
			Meals/diem for PG&E PSPS Outage, 10.27.19	6018.1 - Meetings & Travel	Tiburon	-27.73
TOTAL						-45.00

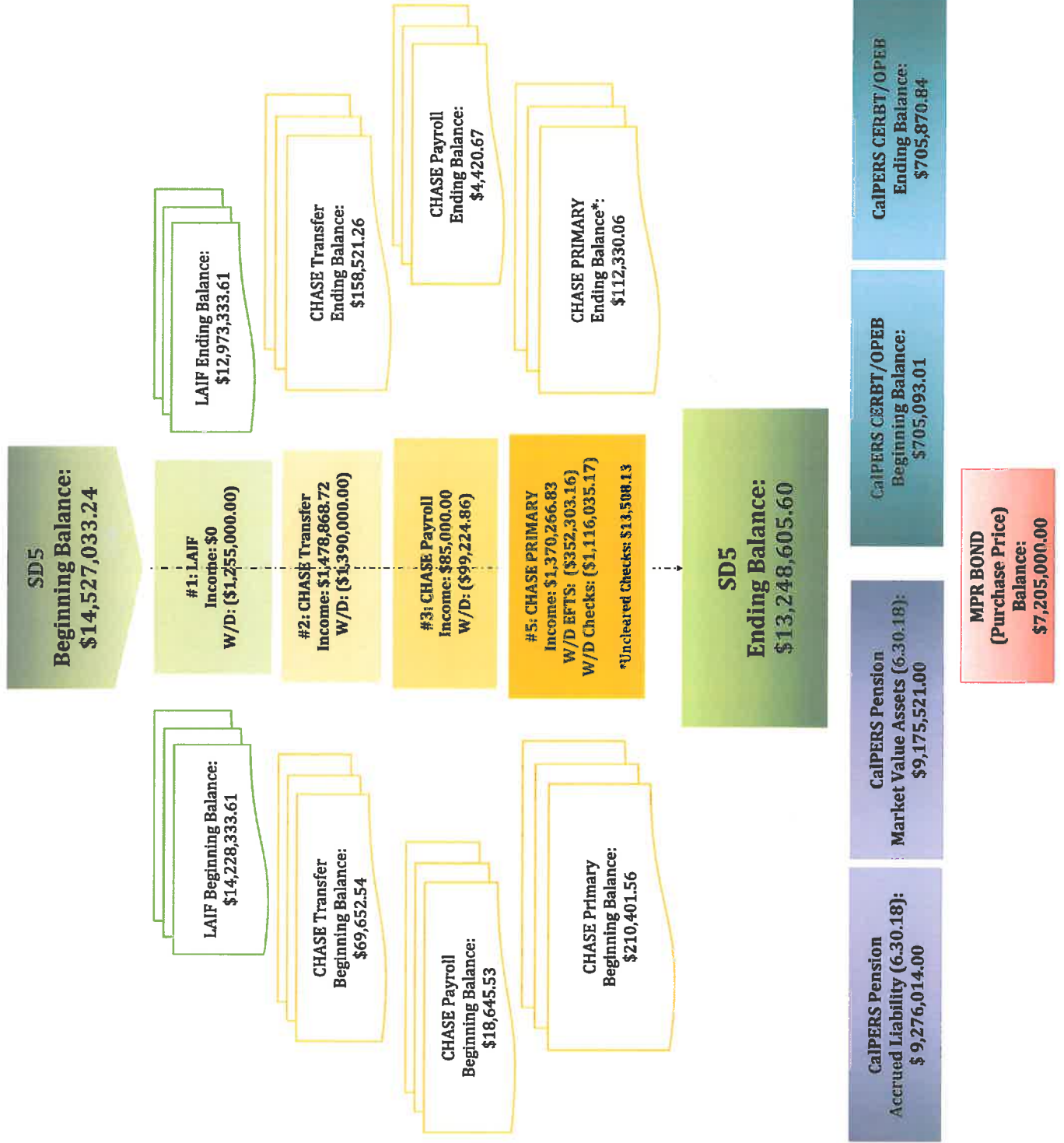
# CASH FLOW CHART

SANITARY DISTRICT NO. 5 OF MARIN COUNTY: October, 2019



# CASH FLOW CHART

SANITARY DISTRICT NO. 5 OF MARIN COUNTY: September, 2019



OCT 10, 2019

SANITARY DISTRICT NO 5 - 0400-2116  
PO BOX 227  
BELVEDERE TIBURON, CA 94920

CHECK DATE : 10/15/2019 WEEK 42  
PERIOD BEGIN : 10/01/2019  
PERIOD END : 10/15/2019



Dear Paychex Preview Client,

Enclosed are your payroll reports and checks. Please verify that all information is accurate and correct.  
If there are any questions or concerns, please contact us immediately.

If you have tax deposits due, ensure the deposits are initiated at least one banking day prior to the due date to avoid penalties. We will assume that these deposits were made on the due dates and they will be reflected on your returns accordingly.

This is a summary of your payroll transactions of the check date of 10/15/2019. It does not reflect miscellaneous administrative charges. Please refer to your Paychex Human Resource Services invoice(s) for any additional cash required for this check date.

**PAYROLL TOTALS**

DIRECT DEPOSIT DEBITED FROM YOUR ACCOUNT	37482.64		
READYCHEX DEBITED FROM YOUR ACCOUNT	0.00	NUMBER OF PAYROLL CHECKS	18
<b>TOTAL NET PAYROLL</b>	<b>37482.64</b>		

BILLING PAYMENT	253.50	Withdrawal made by PAYCHEX INC. on above check date.
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AMOUNT DEBITED FROM TAX ACCOUNT	17088.20		
TOTAL TAX LIABILITY DUE BY CLIENT	0.00		
<b>TOTAL TAX LIABILITY</b>	<b>17088.20</b>	<b>NUMBER OF CHECKS PRINTED</b>	<b>18</b>
<b>TOTAL NET PAYROLL, TAX LIABILITY, AND SERVICES</b>	<b>54570.84</b>		
<b>TOTAL COST OF PAYROLL</b>	<b>54824.34</b>	<b>NUMBER OF MANUAL/VOID TRANSACTIONS</b>	<b>0</b>

**TAX DEPOSITS DUE**

TAX AGENCY	TAXPAY	NON-TAXPAY	DUE DATE
FEDERAL	14228.70		10/18/2019 Deposit made by PAYCHEX INC. on your behalf.
STATE - CA	2727.89		10/18/2019 Deposit made by PAYCHEX INC. on your behalf.

**NEXT PERIOD DATES**

CHECK DATE :	10/31/2019	WEEK 44	TRANSMIT DATE :	10/03/2019
PERIOD BEGIN :	10/16/2019			
PERIOD END :	10/31/2019			

OCT 24, 2019

SANITARY DISTRICT NO 5 - 0400-2116  
PO BOX 227  
BELVEDERE TIBURON, CA 94920

CHECK DATE : 10/31/2019 WEEK 44  
PERIOD BEGIN : 10/16/2019  
PERIOD END : 10/31/2019

Dear Paychex Preview Client,

Enclosed are your payroll reports and checks. Please verify that all information is accurate and correct. If there are any questions or concerns, please contact us immediately.

If you have tax deposits due, ensure the deposits are initiated at least one banking day prior to the due date to avoid penalties. We will assume that these deposits were made on the due dates and they will be reflected on your returns accordingly.

This is a summary of your payroll transactions of the check date of 10/31/2019. It does not reflect miscellaneous administrative charges. Please refer to your Paychex Human Resource Services Invoice(s) for any additional cash required for this check date.

**PAYROLL TOTALS**

DIRECT DEPOSIT DEBITED FROM YOUR ACCOUNT	35827.35		
READYCHEX DEBITED FROM YOUR ACCOUNT	0.00	NUMBER OF PAYROLL CHECKS	14
<b>TOTAL NET PAYROLL</b>	<b>35827.35</b>		
BILLING PAYMENT	230.70	Withdrawal made by PAYCHEX INC. on above check date.	

AMOUNT DEBITED FROM TAX ACCOUNT	18303.93		
TOTAL TAX LIABILITY DUE BY CLIENT	0.00		
<b>TOTAL TAX LIABILITY</b>	<b>18303.93</b>	<b>NUMBER OF CHECKS PRINTED</b>	<b>14</b>
<b>TOTAL NET PAYROLL, TAX LIABILITY, AND SERVICES</b>	<b>54131.28</b>		
<b>TOTAL COST OF PAYROLL</b>	<b>54361.98</b>	<b>NUMBER OF MANUAL/VOID TRANSACTIONS</b>	<b>0</b>

**TAX DEPOSITS DUE**

TAX AGENCY	TAXPAY	NON-TAXPAY	DUE DATE	
FEDERAL	15163.33		11/06/2019	Deposit made by PAYCHEX INC. on your behalf.
STATE - CA	3057.30		11/06/2019	Deposit made by PAYCHEX INC. on your behalf.

**NEXT PERIOD DATES**

CHECK DATE : 11/15/2019 WEEK 46 TRANSMIT DATE : 11/13/2019  
PERIOD BEGIN : 11/01/2019  
PERIOD END : 11/15/2019

4:54 PM

11/19/19

**Sanitary Distr. No.5 of Marin Co.**  
**Balance Sheet - REVISED**  
**As of October 31, 2019**

	<u>Oct 31, 19</u>	<u>Sep 30, 19</u>	<u>\$ Change</u>
<b>ASSETS</b>			
<b>Current Assets</b>			
<b>Checking/Savings</b>			
<b>Local Agency Investment Fund</b>			
<b>Belvedere</b>			
Belvedere Operating	3,243,751.17	3,316,375.34	-72,624.17
Belvedere Operating Reserve	400,923.05	400,923.05	0.00
Belvedere Capital & CIP Reserve	2,052,248.74	2,508,808.87	-456,560.13
Belvedere PERS Retirement Trust	254,615.00	254,615.00	0.00
Belvedere Disaster Recovery Fnd	356,250.00	356,250.00	0.00
<b>Total Belvedere</b>	<b>6,307,787.96</b>	<b>6,836,972.26</b>	<b>-529,184.30</b>
<b>Tiburon</b>			
Tiburon Operating	1,471,764.24	1,494,597.94	-22,833.70
Tiburon Operating Reserve	548,730.00	548,730.00	0.00
Tiburon Capital & CIP Reserve	3,000,154.00	2,989,193.41	10,961.27
Tiburon PERS Retirement Trust	460,090.00	460,090.00	0.00
Tiburon Disaster Recovery Fund	643,750.00	643,750.00	0.00
<b>Total Tiburon</b>	<b>6,124,488.92</b>	<b>6,136,361.35</b>	<b>-11,872.43</b>
<b>Total Local Agency Investment Fund</b>	<b>12,432,276.88</b>	<b>12,973,333.61</b>	<b>-541,056.73</b>
<b>JP Morgan Chase - Primary 7399</b>	<b>8,594.84</b>	<b>98,821.93</b>	<b>-90,227.09</b>
<b>JP Morgan Chase - Payroll 7506</b>	<b>3,565.99</b>	<b>1,596.49</b>	<b>1,989.50</b>
<b>JP Morgan Chase - Transfer 7522</b>	<b>153,147.08</b>	<b>158,521.26</b>	<b>-5,374.18</b>
<b>Total Checking/Savings</b>	<b>12,597,604.79</b>	<b>13,232,273.29</b>	<b>-634,668.50</b>
<b>Accounts Receivable</b>			
Accounts Receivable	16,786.35	11,374.00	5,412.35
<b>Total Accounts Receivable</b>	<b>16,786.35</b>	<b>11,374.00</b>	<b>5,412.35</b>
<b>Other Current Assets</b>			
Petty Cash	881.92	881.92	0.00
<b>Total Other Current Assets</b>	<b>881.92</b>	<b>881.92</b>	<b>0.00</b>
<b>Total Current Assets</b>	<b>12,615,273.06</b>	<b>13,244,529.21</b>	<b>-629,256.15</b>
<b>Fixed Assets</b>	<b>19,150,305.31</b>	<b>19,150,305.31</b>	<b>0.00</b>
<b>TOTAL ASSETS</b>	<b><u>31,765,578.37</u></b>	<b><u>32,394,834.52</u></b>	<b><u>-629,256.15</u></b>
<b>LIABILITIES &amp; EQUITY</b>			
<b>Liabilities</b>			
<b>Current Liabilities</b>			
<b>Other Current Liabilities</b>			
Compensated Absences Current	135,674.95	135,674.95	0.00
Retainage Payable	60,031.56	18,703.15	41,328.41
MPR Rev Bond Interest Payable	-83,837.50	-83,837.50	0.00
<b>Total Other Current Liabilities</b>	<b>111,869.01</b>	<b>70,540.60</b>	<b>41,328.41</b>
<b>Total Current Liabilities</b>	<b>111,869.01</b>	<b>70,540.60</b>	<b>41,328.41</b>
<b>Long Term Liabilities</b>	<b>8,391,526.68</b>	<b>8,391,526.68</b>	<b>0.00</b>
<b>Total Liabilities</b>	<b>8,503,395.69</b>	<b>8,462,067.28</b>	<b>41,328.41</b>
<b>Equity</b>			
3000 - Closing Entries	637,675.00	637,675.00	0.00
3900 - Net Assets	24,974,038.73	24,974,038.73	0.00
Net Income	-2,349,531.05	-1,678,946.49	-670,584.56
<b>Total Equity</b>	<b>23,262,182.68</b>	<b>23,932,767.24</b>	<b>-670,584.56</b>
<b>TOTAL LIABILITIES &amp; EQUITY</b>	<b><u>31,765,578.37</u></b>	<b><u>32,394,834.52</u></b>	<b><u>-629,256.15</u></b>



**Sanitary Distr. No.5 of Marin Co.**  
**Balance Sheet**  
**As of October 31, 2019**

	<u>Oct 31, 19</u>	<u>Sep 30, 19</u>	<u>\$ Change</u>
<b>ASSETS</b>			
<b>Current Assets</b>			
<b>Checking/Savings</b>			
<b>Local Agency Investment Fund</b>			
<b>Belvedere</b>			
Belvedere Operating	3,258,135.17	3,316,375.34	-58,240.17
Belvedere Operating Reserve	400,923.05	400,923.05	0.00
Belvedere Capital & CIP Reserve	2,052,248.74	2,508,808.87	-456,560.13
Belvedere PERS Retirement Trust	254,615.00	254,615.00	0.00
Belvedere Disaster Recovery Fnd	356,250.00	356,250.00	0.00
<b>Total Belvedere</b>	<u>6,322,171.96</u>	<u>6,836,972.26</u>	<u>-514,800.30</u>
<b>Tiburon</b>			
Tiburon Operating	1,457,380.24	1,494,597.94	-37,217.70
Tiburon Operating Reserve	548,730.00	548,730.00	0.00
Tiburon Capital & CIP Reserve	3,000,154.68	2,989,193.41	10,961.27
Tiburon PERS Retirement Trust	460,090.00	460,090.00	0.00
Tiburon Disaster Recovery Fund	643,750.00	643,750.00	0.00
<b>Total Tiburon</b>	<u>6,110,104.92</u>	<u>6,136,361.35</u>	<u>-26,256.43</u>
<b>Total Local Agency Investment Fund</b>	<u>12,432,276.88</u>	<u>12,973,333.61</u>	<u>-541,056.73</u>
JP Morgan Chase - Primary 7399	8,594.84	98,821.93	-90,227.09
JP Morgan Chase - Payroll 7506	3,585.99	1,596.49	1,989.50
JP Morgan Chase - Transfer 7522	153,147.08	158,521.26	-5,374.18
<b>Total Checking/Savings</b>	<u>12,597,604.79</u>	<u>13,232,273.29</u>	<u>-634,668.50</u>
<b>Accounts Receivable</b>			
Accounts Receivable	16,786.35	11,374.00	5,412.35
<b>Total Accounts Receivable</b>	<u>16,786.35</u>	<u>11,374.00</u>	<u>5,412.35</u>
<b>Other Current Assets</b>	<u>881.92</u>	<u>881.92</u>	<u>0.00</u>
<b>Total Current Assets</b>	<u>12,615,273.06</u>	<u>13,244,529.21</u>	<u>-629,256.15</u>
<b>Fixed Assets</b>	<u>19,150,305.31</u>	<u>19,150,305.31</u>	<u>0.00</u>
<b>TOTAL ASSETS</b>	<u><b>31,765,578.37</b></u>	<u><b>32,394,834.52</b></u>	<u><b>-629,256.15</b></u>
<b>LIABILITIES &amp; EQUITY</b>			
<b>Liabilities</b>			
<b>Current Liabilities</b>			
<b>Other Current Liabilities</b>			
Compensated Absences Current	135,674.95	135,674.95	0.00
Retainage Payable	60,031.56	18,703.15	41,328.41
MPR Rev Bond Interest Payable	-83,837.50	-83,837.50	0.00
<b>Total Other Current Liabilities</b>	<u>111,869.01</u>	<u>70,540.60</u>	<u>41,328.41</u>
<b>Total Current Liabilities</b>	<u>111,869.01</u>	<u>70,540.60</u>	<u>41,328.41</u>
<b>Long Term Liabilities</b>	<u>8,391,526.68</u>	<u>8,391,526.68</u>	<u>0.00</u>
<b>Total Liabilities</b>	<u>8,503,395.69</u>	<u>8,462,067.28</u>	<u>41,328.41</u>
<b>Equity</b>			
3000 - Closing Entries	637,675.00	637,675.00	0.00
3900 - Net Assets	24,974,038.73	24,974,038.73	0.00
Net Income	-2,349,531.05	-1,678,946.49	-670,584.56
<b>Total Equity</b>	<u>23,262,182.68</u>	<u>23,932,767.24</u>	<u>-670,584.56</u>
<b>TOTAL LIABILITIES &amp; EQUITY</b>	<u><b>31,765,578.37</b></u>	<u><b>32,394,834.52</b></u>	<u><b>-629,256.15</b></u>

**Sanitary Distr. No.5 of Marin Co.**  
**Annual Budget vs Actual Expenses**  
**July through October 2019**

	Jul - Oct 19	Budget	\$ Over Budget	% of Bud...
<b>Ordinary Income/Expense</b>				
<b>Income</b>				
<b>5000 · Property Taxes</b>				
5001.2 · TEETER	0.00	700,000.00	-700,000.00	0.0%
5002 · UNSEC	0.00	13,000.00	-13,000.00	0.0%
5003 · PUNS / PRIOR UNSECURED	0.00	0.00	0.00	0.0%
5004 · REDEMPTION / RDMPT	125.82	500.00	-374.18	25.2%
5006 · SPLU	0.00	100.00	-100.00	0.0%
5041 · SUPSEC	0.00	15,000.00	-15,000.00	0.0%
5043 · SECU	0.00	0.00	0.00	0.0%
5046 · Excess ERAF	0.00	250,000.00	-250,000.00	0.0%
5280 · HOPTR	0.00	3,333.00	-3,333.00	0.0%
5483 · Other tax	0.00	0.00	0.00	0.0%
<b>Total 5000 · Property Taxes</b>	<b>125.82</b>	<b>981,933.00</b>	<b>-981,807.18</b>	<b>0.0%</b>
<b>5007 · Sewer Service Charge</b>				
5007.1 · Sewer Service - Tiburon Ops	42,021.76	2,454,797.00	-2,412,775.24	1.7%
5007.5 · Sewer Service - Tiburon Cap	0.00	230,977.00	-230,977.00	0.0%
5007.2 · Sewer Service-Belv Ops	0.00	1,396,621.00	-1,396,621.00	0.0%
5007.3 · Sewer Service-Belv Cap	0.00	923,348.00	-923,348.00	0.0%
5007.4 · Other User Fees	0.00	24,826.00	-24,826.00	0.0%
<b>Total 5007 · Sewer Service Charge</b>	<b>42,021.76</b>	<b>5,030,569.00</b>	<b>-4,988,547.24</b>	<b>0.8%</b>
<b>5201 · Interest</b>				
5201.1 · Interest County of Marin	-635.04			
5201.2 · Interest LAIF	86,943.27	25,000.00	61,943.27	347.8%
<b>Total 5201 · Interest</b>	<b>86,308.23</b>	<b>25,000.00</b>	<b>61,308.23</b>	<b>345.2%</b>
<b>5900.3 · Connection Fees</b>				
5900.30 · Connection Permit Fees	5,350.00	10,000.00	-4,650.00	53.5%
5900.31 · Collection	96,812.00	100,000.00	-3,188.00	96.8%
5900.34 · Treatment	90,900.00	100,000.00	-9,100.00	90.9%
<b>Total 5900.3 · Connection Fees</b>	<b>193,062.00</b>	<b>210,000.00</b>	<b>-16,938.00</b>	<b>91.9%</b>
5900.4 · Inspection Permit Fees	6,650.00	10,000.00	-3,350.00	66.5%
5900.5 · SASM Expense Reimb.	16,786.35	65,000.00	-48,213.65	25.8%
5900.9 · Other Income	0.00	100.00	-100.00	0.0%
5900.10 · Paradise Sewer Line Ext. Fees	0.00	13,365.00	-13,365.00	0.0%
<b>Total Income</b>	<b>344,954.16</b>	<b>6,335,967.00</b>	<b>-5,991,012.84</b>	<b>5.4%</b>
<b>Expense</b>				
<b>6000 · Administrative Expenses</b>				
6001 · Advertising	75.00	1,000.00	-925.00	7.5%
6002 · Outreach & Newsletter	0.00	1,000.00	-1,000.00	0.0%
6008 · Audit & Accounting	10,000.00	33,700.00	-23,700.00	29.7%
6017 · Consulting Fees	40,453.72	100,000.00	-59,546.28	40.5%
<b>6018 · Travel &amp; Meetings</b>				
6018.1 · Meetings & Travel	1,874.57	8,000.00	-6,125.43	23.4%
6018.2 · Standby Mileage Expense Reimb	1,604.48	7,000.00	-5,395.52	22.9%
<b>Total 6018 · Travel &amp; Meetings</b>	<b>3,479.05</b>	<b>15,000.00</b>	<b>-11,520.95</b>	<b>23.2%</b>
6020 · Continuing Education	1,090.75	10,000.00	-8,909.25	10.9%
6021 · County Fees	4,842.24	16,500.00	-11,657.76	29.3%
6024 · Director Fees	0.00	9,000.00	-9,000.00	0.0%
6025 · Dues & Subscriptions	6,628.33	25,000.00	-18,371.67	26.5%
<b>6033 · Insurance Property &amp; Liability</b>				
6033.1 · PLP Public Entity Phys Damage	16,214.00	17,377.00	-1,163.00	93.3%
6033.2 · General Liability	18,646.50	42,840.00	-24,193.50	43.5%
6033.3 · Physical Property Damage - Auto	1,227.00	1,435.00	-208.00	85.5%
<b>Total 6033 · Insurance Property &amp; Liability</b>	<b>36,087.50</b>	<b>61,652.00</b>	<b>-25,564.50</b>	<b>58.5%</b>

**Sanitary Distr. No.5 of Marin Co.**  
**Annual Budget vs Actual Expenses**  
**July through October 2019**

	Jul - Oct 19	Budget	\$ Over Budget	% of Bud...
6039 · Legal	2,677.50	70,000.00	-67,322.50	3.8%
6047 · Office Supplies	1,514.72	7,000.00	-5,485.28	21.6%
6056 · Postage	258.05	1,000.00	-741.95	25.8%
6059 · Pollution Prevention/Public Edu	935.89	4,000.00	-3,064.11	23.4%
6065 · Miscellaneous Expense	31.34			
<b>Total 6000 · Administrative Expenses</b>	<b>108,074.09</b>	<b>354,852.00</b>	<b>-246,777.91</b>	<b>30.5%</b>
<b>7000 · Ops &amp; Maintenance Expenses</b>				
7010 · Pumps & Lines Maintenance				
7011 · Pumps & Lines Maintenance	21,501.72	200,000.00	-178,498.28	10.8%
7013 · Emergency Line Repair	0.00	50,000.00	-50,000.00	0.0%
<b>Total 7010 · Pumps &amp; Lines Maintenance</b>	<b>21,501.72</b>	<b>250,000.00</b>	<b>-228,498.28</b>	<b>8.6%</b>
7020 · Main Plant Maintenance				
7021 · Plant Maintenance Supplies	1,294.96	10,000.00	-8,705.04	12.9%
7022 · Plant Maint. Parts & Service	41,516.01	50,000.00	-8,483.99	83.0%
7023 · Janitorial Supplies & Service	1,668.91	6,000.00	-4,331.09	27.8%
7024 · Main Plant Chemicals	16,647.30	105,000.00	-88,352.70	15.9%
7025 · Lab Supplies & Chemicals	11,303.99	15,000.00	-3,696.01	75.4%
7027 · Electrical & Instrument	2,912.26	5,000.00	-2,087.74	58.2%
7028 · Grounds Maintenance	6,493.40	8,000.00	-1,506.60	81.2%
7029 · Main Plant Sludge Disposal	6,868.78	30,000.00	-23,131.22	22.9%
<b>Total 7020 · Main Plant Maintenance</b>	<b>88,705.61</b>	<b>229,000.00</b>	<b>-140,294.39</b>	<b>38.7%</b>
7040 · Paradise Cove Plant Maint				
7041 · Paradise Parts & Service	66.01	10,000.00	-9,933.99	0.7%
7042 · Paradise Supplies & Chemicals	2,555.28	5,000.00	-2,444.72	51.1%
7043 · Paradise Sludge Disposal	1,150.35	8,000.00	-6,849.65	14.4%
<b>Total 7040 · Paradise Cove Plant Maint</b>	<b>3,771.64</b>	<b>23,000.00</b>	<b>-19,228.36</b>	<b>16.4%</b>
7050 · Monitoring				
7051 · Main Plant Lab Monitoring	9,326.90	45,000.00	-35,673.10	20.7%
7052 · Paradise Cove Monitoring	3,328.90	15,000.00	-11,671.10	22.2%
<b>Total 7050 · Monitoring</b>	<b>12,655.80</b>	<b>60,000.00</b>	<b>-47,344.20</b>	<b>21.1%</b>
7060 · Permits/Fees				
7062 · Permits/Fees - General	18,522.39	40,000.00	-21,477.61	46.3%
7063 · Paradise Cove Permits/Fees	231.28	8,000.00	-7,768.72	2.9%
<b>Total 7060 · Permits/Fees</b>	<b>18,753.67</b>	<b>48,000.00</b>	<b>-29,246.33</b>	<b>39.1%</b>
7070 · Truck Maintenance				
7071 · Fuel	2,920.69	8,000.00	-5,079.31	36.5%
7072 · Truck Maintenance	617.96	5,000.00	-4,382.04	12.4%
<b>Total 7070 · Truck Maintenance</b>	<b>3,538.65</b>	<b>13,000.00</b>	<b>-9,461.35</b>	<b>27.2%</b>
<b>Total 7000 · Ops &amp; Maintenance Expenses</b>	<b>148,927.09</b>	<b>623,000.00</b>	<b>-474,072.91</b>	<b>23.9%</b>
<b>8000 · Salaries and Benefits Expenses</b>				
8001 · Salaries	322,120.93	1,153,504.00	-831,383.07	27.9%
8003 · Overtime	38,802.92	100,000.00	-61,197.08	38.8%
8004 · Standby Pay	23,344.69	69,428.00	-46,083.31	33.6%
8005 · Employee Incentives	5,000.00	40,000.00	-35,000.00	12.5%
8006 · Vacation Buyout	6,315.06	25,000.00	-18,684.94	25.3%
8013 · Payroll Taxes	28,023.56	94,891.00	-66,867.44	29.5%
8015 · Payroll/Bank Fees	1,882.16	5,500.00	-3,617.84	34.2%
8016 · Car Allowance	6,000.00	6,000.00	0.00	100.0%
8019 · PERS Retirement				
8019.05 · PERS Retirement	34,304.97	147,885.00	-113,580.03	23.2%
8019.08 · PERS Retirement - CalPERS UAL	132,419.00	20,000.00	112,419.00	662.1%
8019.10 · PERS Retirement Trust	0.00	286,555.00	-286,555.00	0.0%
8019 · PERS Retirement - Other	0.00			
<b>Total 8019 · PERS Retirement</b>	<b>166,723.97</b>	<b>454,440.00</b>	<b>-287,716.03</b>	<b>36.7%</b>

**Sanitary Distr. No.5 of Marin Co.**  
**Annual Budget vs Actual Expenses**  
**July through October 2019**

	Jul - Oct 19	Budget	\$ Over Budget	% of Bud...
<b>8020 - Employee Health</b>				
8020.05 - Employee Health	61,581.07	217,176.00	-155,594.93	28.4%
8021 - Employee Health Deductions	-1,001.60			
<b>Total 8020 - Employee Health</b>	<b>60,579.47</b>	<b>217,176.00</b>	<b>-156,596.53</b>	<b>27.9%</b>
<b>8022 - Retiree Health</b>				
8022.05 - Retiree Health	35,094.50	79,551.00	-44,456.50	44.1%
8022.10 - CERBT/OPEB Annual Arc Contribtn	0.00	70,200.00	-70,200.00	0.0%
<b>Total 8022 - Retiree Health</b>	<b>35,094.50</b>	<b>149,751.00</b>	<b>-114,656.50</b>	<b>23.4%</b>
<b>8023 - Workers Comp Insurance</b>	<b>33,476.00</b>	<b>29,365.00</b>	<b>4,111.00</b>	<b>114.0%</b>
<b>Total 8000 - Salaries and Benefits Expenses</b>	<b>727,363.26</b>	<b>2,345,055.00</b>	<b>-1,617,691.74</b>	<b>31.0%</b>
<b>8500 - Other Operating Expenses</b>				
8510 - Data/Alarms/IT Supp & Licensing	7,538.48	80,000.00	-72,461.52	9.4%
8515 - Safety	2,487.32	20,000.00	-17,512.68	12.4%
8520 - Personal Protection/Safety Wear	3,084.53	15,000.00	-11,915.47	20.6%
8530 - Telephone				
8531 - Main Plant Telephones	2,368.54	11,000.00	-8,631.46	21.5%
8532 - Paradise Cove Telephones	924.83	4,000.00	-3,075.17	23.1%
8533 - Pumps & Lines Telephones	1,436.40	7,000.00	-5,563.60	20.5%
<b>Total 8530 - Telephone</b>	<b>4,729.77</b>	<b>22,000.00</b>	<b>-17,270.23</b>	<b>21.5%</b>
8540 - Utilities				
8541 - Water	735.35	4,000.00	-3,264.65	18.4%
8542 - Main Plant Utilities	50,345.31	180,000.00	-129,654.69	28.0%
8543 - Paradise Cove Utilities	3,590.71	13,500.00	-9,909.29	26.6%
8544 - Pump Station Utilities	7,834.94	35,000.00	-27,165.06	22.4%
<b>Total 8540 - Utilities</b>	<b>62,506.31</b>	<b>232,500.00</b>	<b>-169,993.69</b>	<b>26.9%</b>
<b>Total 8500 - Other Operating Expenses</b>	<b>80,346.41</b>	<b>369,500.00</b>	<b>-289,153.59</b>	<b>21.7%</b>
<b>Total Expense</b>	<b>1,064,710.85</b>	<b>3,692,407.00</b>	<b>-2,627,696.15</b>	<b>28.8%</b>
<b>Net Ordinary Income</b>	<b>-719,756.69</b>	<b>2,643,560.00</b>	<b>-3,363,316.69</b>	<b>-27.2%</b>
<b>Other Income/Expense</b>				
<b>Other Expense</b>				
<b>9100 - Capital Expenditures</b>				
<b>9200 - Main Plant Equipment Capital</b>				
9204 - M.P. Boiler Replacement	809.39			
9212 - Headworks Grinder Replacement	19,588.07	15,000.00	4,588.07	130.6%
<b>Total 9200 - Main Plant Equipment Capital</b>	<b>20,397.46</b>	<b>15,000.00</b>	<b>5,397.46</b>	<b>136.0%</b>
<b>9300 - Pumps &amp; Lines Capital</b>				
9301 - Tiburon Sewer Line Rehab Prog	-123.32	600,000.00	-600,123.32	-0.0%
9302 - PS Control Panel Upgrades	19,452.53	40,000.00	-20,547.47	48.6%
9304 - Belvedere Sewer Line Rehab Prog	845,198.97	600,000.00	245,198.97	140.9%
9306 - PS Pump & Valve Replacements	52,247.95	50,000.00	2,247.95	104.5%
9307 - PS Generator Replacement	16,123.19	20,000.00	-3,876.81	80.6%
9310 - BPS Communication Project	3,950.75			
9314 - Portable Emergency Generators	997.14			
9315 - TPS Communication Project	1,097.25			
<b>Total 9300 - Pumps &amp; Lines Capital</b>	<b>938,944.46</b>	<b>1,310,000.00</b>	<b>-371,055.54</b>	<b>71.7%</b>
<b>9500 - Undesignated Capital</b>				
9510 - Undesignated Cap - M.P.	0.00	25,000.00	-25,000.00	0.0%
9520 - Undesignated Cap - P.C. Plant	0.00	10,000.00	-10,000.00	0.0%
9540 - Undesignated Cap - Tiburon	-8,902.30	25,000.00	-33,902.30	-35.6%
9550 - Undesignated Cap - Belvedere	-23,577.67	25,000.00	-48,577.67	-94.3%
<b>Total 9500 - Undesignated Capital</b>	<b>-32,479.97</b>	<b>85,000.00</b>	<b>-117,479.97</b>	<b>-38.2%</b>
<b>Total 9100 - Capital Expenditures</b>	<b>926,861.95</b>	<b>1,410,000.00</b>	<b>-483,138.05</b>	<b>65.7%</b>

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11/12/19

**Sanitary Distr. No.5 of Marin Co.**  
**Annual Budget vs Actual Expenses**  
 July through October 2019

	<u>Jul - Oct 19</u>	<u>Budget</u>	<u>\$ Over Budget</u>	<u>% of Bud...</u>
<b>9700 · Debt Service</b>				
<b>9730 · Debt Service - MPR Project</b>				
<b>9731 · Debt Service MPR Bond Principal</b>	470,000.00	470,000.00	0.00	100.0%
<b>9732 · Debt Service MPR Bond Interest</b>	167,675.00	330,650.00	-162,975.00	50.7%
<b>Total 9730 · Debt Service - MPR Project</b>	<u>637,675.00</u>	<u>800,650.00</u>	<u>-162,975.00</u>	<u>79.6%</u>
<b>Total 9700 · Debt Service</b>	<u>637,675.00</u>	<u>800,650.00</u>	<u>-162,975.00</u>	<u>79.6%</u>
<b>Total Other Expense</b>	<u>1,564,536.95</u>	<u>2,210,650.00</u>	<u>-646,113.05</u>	<u>70.8%</u>
<b>Net Other Income</b>	<u>-1,564,536.95</u>	<u>-2,210,650.00</u>	<u>646,113.05</u>	<u>70.8%</u>
<b>Net Income</b>	<u><b>-2,284,293.64</b></u>	<u><b>432,910.00</b></u>	<u><b>-2,717,203.64</b></u>	<u><b>-527.7%</b></u>

**Sanitary Distr. No.5 of Marin Co.**  
**Zone Report**  
**October 2019**

11/12/19

	Paradise C... (Tiburon)	Tiburon - Ot... (Tiburon)	Total Tiburon	Belvedere	TOTAL
<b>Ordinary Income/Expense</b>					
<b>Income</b>					
5000 · Property Taxes					
5004 · REDEMPTION / RDMPPT	4.21	121.61	125.82	0.00	125.82
<b>Total 5000 · Property Taxes</b>	4.21	121.61	125.82	0.00	125.82
5201 · Interest					
5201.2 · Interest LAIF	0.00	38,729.77	38,729.77	48,213.50	86,943.27
<b>Total 5201 · Interest</b>	0.00	38,729.77	38,729.77	48,213.50	86,943.27
5900.3 · Connection Fees					
5900.30 · Connection Permit Fees	0.00	100.00	100.00	600.00	700.00
5900.31 · Collection	0.00	0.00	0.00	743.00	743.00
5900.34 · Treatment	0.00	0.00	0.00	535.00	535.00
<b>Total 5900.3 · Connection Fees</b>	0.00	100.00	100.00	1,878.00	1,978.00
5900.4 · Inspection Permit Fees	0.00	1,200.00	1,200.00	900.00	2,100.00
5900.5 · SASM Expense Reimb.	0.00	10,600.59	10,600.59	6,185.76	16,786.35
<b>Total Income</b>	4.21	50,751.97	50,756.18	57,177.26	107,933.44
<b>Expense</b>					
6000 · Administrative Expenses					
6008 · Audit & Accounting	242.00	6,162.00	6,404.00	3,596.00	10,000.00
6017 · Consulting Fees	138.24	5,905.37	6,043.61	6,494.89	12,538.50
6018 · Travel & Meetings					
6018.1 · Meetings & Travel	0.85	21.56	22.41	12.59	35.00
6018.2 · Standby Mileage Expense Reimb	0.00	361.62	361.62	230.79	592.41
6018.3 · SASM Mileage Reimbursement	0.00	14.88	14.88	8.67	23.55
<b>Total 6018 · Travel &amp; Meetings</b>	0.85	398.06	398.91	252.05	650.96
6020 · Continuing Education	4.96	126.32	131.28	73.72	205.00
6025 · Dues & Subscriptions	6.93	176.21	183.14	102.86	286.00
6039 · Legal	13.72	758.89	772.61	203.89	976.50
6047 · Office Supplies	5.56	141.38	146.94	82.51	229.45
6056 · Postage	0.08	2.13	2.21	1.24	3.45
6065 · Miscellaneous Expense	0.76	19.31	20.07	11.27	31.34
<b>Total 6000 · Administrative Expenses</b>	413.10	13,689.67	14,102.77	10,818.43	24,921.20
7000 · Ops & Maintenance Expenses					
7010 · Pumps & Lines Maintenance					
7011 · Pumps & Lines Maintenance	0.00	4,138.16	4,138.16	5,321.79	9,459.95
<b>Total 7010 · Pumps &amp; Lines Maintenance</b>	0.00	4,138.16	4,138.16	5,321.79	9,459.95
7020 · Main Plant Maintenance					
7021 · Plant Maintenance Supplies	71.51	0.00	71.51	41.73	113.24
7022 · Plant Maint. Parts & Service	0.00	24,029.23	24,029.23	14,021.80	38,051.03
7023 · Janitorial Supplies & Service	0.00	303.33	303.33	177.00	480.33
7024 · Main Plant Chemicals	0.00	2,643.53	2,643.53	1,542.85	4,186.38
7025 · Lab Supplies & Chemicals	41.26	6,718.10	6,759.36	3,944.32	10,703.68
7026 · SASM Supplies & Chem	0.00	6,325.57	6,325.57	3,691.17	10,016.74
7027 · Electrical & Instrument	0.00	256.44	256.44	282.41	538.85
7028 · Grounds Maintenance	0.00	151.18	151.18	88.22	239.40
7029 · Main Plant Sludge Disposal	0.00	2,267.12	2,267.12	1,323.04	3,590.16
<b>Total 7020 · Main Plant Maintenance</b>	112.77	42,694.50	42,807.27	25,112.54	67,919.81
7040 · Paradise Cove Plant Maint					
7042 · Paradise Supplies & Chemicals	243.87	0.00	243.87	0.00	243.87
7043 · Paradise Sludge Disposal	89.04	0.00	89.04	0.00	89.04
<b>Total 7040 · Paradise Cove Plant Maint</b>	332.91	0.00	332.91	0.00	332.91

**Sanitary Distr. No.5 of Marin Co.**  
**Zone Report**  
**October 2019**

11/12/19

	Paradise C... (Tiburon)	Tiburon - Ot... (Tiburon)	Total Tiburon	Belvedere	TOTAL
<b>7050 · Monitoring</b>					
7051 · Main Plant Lab Monitoring	0.00	3,312.06	3,312.06	1,932.69	5,244.75
7052 · Paradise Cove Monitoring	2,318.80	0.00	2,318.80	0.00	2,318.80
<b>Total 7050 · Monitoring</b>	<b>2,318.80</b>	<b>3,312.06</b>	<b>5,630.86</b>	<b>1,932.69</b>	<b>7,563.55</b>
<b>7070 · Truck Maintenance</b>					
7071 · Fuel	31.15	793.27	824.42	462.94	1,287.36
7072 · Truck Maintenance	9.97	253.86	263.83	148.15	411.98
<b>Total 7070 · Truck Maintenance</b>	<b>41.12</b>	<b>1,047.13</b>	<b>1,088.25</b>	<b>611.09</b>	<b>1,699.34</b>
<b>Total 7000 · Ops &amp; Maintenance Expenses</b>	<b>2,805.60</b>	<b>51,191.85</b>	<b>53,997.45</b>	<b>32,978.11</b>	<b>86,975.56</b>
<b>8000 · Salaries and Benefits Expenses</b>					
8001 · Salaries	1,209.19	66,529.28	67,738.47	15,482.07	83,220.54
8030 · Salaries Reimbursed by SASM	0.00	4,260.14	4,260.14	2,485.92	6,746.06
8003 · Overtime	253.01	6,442.18	6,695.19	3,759.51	10,454.70
8004 · Standby Pay	143.77	3,660.66	3,804.43	2,136.27	5,940.70
8006 · Vacation Buyout	103.53	2,636.06	2,739.59	1,538.35	4,277.94
8007 · Voluntary Deductions	0.00	0.00	0.00	0.00	0.00
8008 · Deferred Comp 457	0.00	-2,824.18	-2,824.18	0.00	-2,824.18
8012 · Wage Garnishments	0.00	0.00	0.00	0.00	0.00
8013 · Payroll Taxes	185.83	4,512.73	4,698.56	2,761.26	7,459.82
8015 · Payroll/Bank Fees	11.71	296.37	310.08	174.12	484.20
8019 · PERS Retirement					
8019.05 · PERS Retirement	297.74	7,581.50	7,879.24	4,424.40	12,303.64
<b>Total 8019 · PERS Retirement</b>	<b>297.74</b>	<b>7,581.50</b>	<b>7,879.24</b>	<b>4,424.40</b>	<b>12,303.64</b>
<b>8020 · Employee Health</b>					
8020.05 · Employee Health	374.64	9,539.49	9,914.13	5,567.02	15,481.15
8021 · Employee Health Deductions	-6.06	-154.30	-160.36	-90.04	-250.40
<b>Total 8020 · Employee Health</b>	<b>368.58</b>	<b>9,385.19</b>	<b>9,753.77</b>	<b>5,476.98</b>	<b>15,230.75</b>
<b>8022 · Retiree Health</b>					
8022.05 · Retiree Health	20.18	513.67	533.85	299.76	833.61
<b>Total 8022 · Retiree Health</b>	<b>20.18</b>	<b>513.67</b>	<b>533.85</b>	<b>299.76</b>	<b>833.61</b>
<b>8000 · Salaries and Benefits Expenses - Other</b>	<b>0.00</b>	<b>425.81</b>	<b>425.81</b>	<b>0.00</b>	<b>425.81</b>
<b>Total 8000 · Salaries and Benefits Expenses</b>	<b>2,593.54</b>	<b>103,421.41</b>	<b>106,014.95</b>	<b>38,538.64</b>	<b>144,553.59</b>
<b>8500 · Other Operating Expenses</b>					
8510 · Data/Alarms/IT Supp & Licensing	77.70	1,978.58	2,056.28	1,154.66	3,210.94
8515 · Safety	8.47	215.67	224.14	125.86	350.00
8520 · Personal Protection/Safety Wear	33.64	856.62	890.26	499.89	1,390.15
8530 · Telephone					
8531 · Main Plant Telephones	0.00	444.63	444.63	259.48	704.11
8532 · Paradise Cove Telephones	306.11	0.00	306.11	0.00	306.11
8533 · Pumps & Lines Telephones	173.48	305.32	478.80	0.00	478.80
<b>Total 8530 · Telephone</b>	<b>479.59</b>	<b>749.95</b>	<b>1,229.54</b>	<b>259.48</b>	<b>1,489.02</b>
<b>8540 · Utilities</b>					
8542 · Main Plant Utilities	0.00	11,087.52	11,087.52	6,469.92	17,557.44
8543 · Paradise Cove Utilities	1,322.29	0.00	1,322.29	0.00	1,322.29
8544 · Pump Station Utilities	224.64	1,448.32	1,672.96	1,236.67	2,909.63
<b>Total 8540 · Utilities</b>	<b>1,546.93</b>	<b>12,535.84</b>	<b>14,082.77</b>	<b>7,706.59</b>	<b>21,789.36</b>
<b>Total 8500 · Other Operating Expenses</b>	<b>2,146.33</b>	<b>16,336.66</b>	<b>18,482.99</b>	<b>9,746.48</b>	<b>28,229.47</b>
<b>Total Expense</b>	<b>7,958.57</b>	<b>184,639.59</b>	<b>192,598.16</b>	<b>92,081.66</b>	<b>284,679.82</b>
<b>Net Ordinary Income</b>	<b>-7,954.36</b>	<b>-133,887.62</b>	<b>-141,841.98</b>	<b>-34,904.40</b>	<b>-176,746.38</b>

**Sanitary Distr. No.5 of Marin Co.  
Zone Report  
October 2019**

11/12/19

	<u>Paradise C...</u> <u>(Tiburon)</u>	<u>Tiburon - Ot...</u> <u>(Tiburon)</u>	<u>Total Tiburon</u>	<u>Belvedere</u>	<u>TOTAL</u>
<b>Other Income/Expense</b>					
<b>Other Expense</b>					
<b>9100 - Capital Expenditures</b>					
<b>9300 - Pumps &amp; Lines Capital</b>					
9301 - Tiburon Sewer Line Rehab Prog	0.00	-123.32	-123.32	0.00	-123.32
9302 - PS Control Panel Upgrades	0.00	12,968.35	12,968.35	6,484.18	19,452.53
9304 - Belvedere Sewer Line Rehab Prog	0.00	0.00	0.00	471,135.97	471,135.97
9310 - BPS Communication Project	0.00	0.00	0.00	2,275.75	2,275.75
9315 - TPS Communication Project	0.00	1,097.25	1,097.25	0.00	1,097.25
<b>Total 9300 - Pumps &amp; Lines Capital</b>	<u>0.00</u>	<u>13,942.28</u>	<u>13,942.28</u>	<u>479,895.90</u>	<u>493,838.18</u>
<b>Total 9100 - Capital Expenditures</b>	<u>0.00</u>	<u>13,942.28</u>	<u>13,942.28</u>	<u>479,895.90</u>	<u>493,838.18</u>
<b>Total Other Expense</b>	<u>0.00</u>	<u>13,942.28</u>	<u>13,942.28</u>	<u>479,895.90</u>	<u>493,838.18</u>
<b>Net Other Income</b>	<u>0.00</u>	<u>-13,942.28</u>	<u>-13,942.28</u>	<u>-479,895.90</u>	<u>-493,838.18</u>
<b>Net Income</b>	<u><b>-7,954.36</b></u>	<u><b>-147,829.90</b></u>	<u><b>-155,784.26</b></u>	<u><b>-514,800.30</b></u>	<u><b>-670,584.56</b></u>



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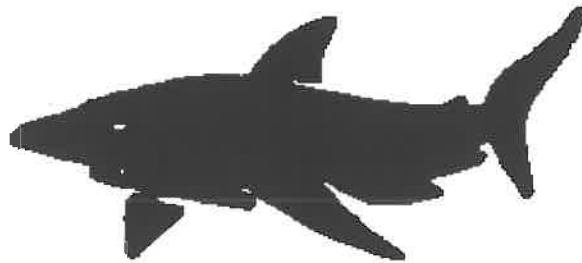
11/12/19

Accrual Basis

**Sanitary Distr. No.5 of Marin Co.**  
**Monthly O.T. Report**  
**October 2019**

Type	Date	Num	Name	Memo	Amount	Balance
<b>Bilsborough, Chad</b>						
Check	10/15/19	2881-3328	Bilsborough, Chad	18.5 Hrs. O.T. @ 1.5x	956.55	956.55
Check	10/15/19	2881-3328	Bilsborough, Chad	2.0 Hrs. O.T. @ 2.0x	137.88	1,094.43
Total Bilsborough, Chad					1,094.43	1,094.43
<b>Cottrell, Rulon</b>						
Check	10/15/19	2881-3330	Cottrell, Rulon	30.0 Hrs. O.T. @ 1.5x	2,291.76	2,291.76
Total Cottrell, Rulon					2,291.76	2,291.76
<b>Dohrmann, Robin</b>						
Check	10/15/19	2881-3332	Dohrmann, Robin	9.25 Hrs. O.T. @ 1.5x	706.62	706.62
Check	10/15/19	2881-3332	Dohrmann, Robin	0.75 Hrs. O.T. @ 2.0x	76.39	783.01
Check	10/31/19	3041-3348	Dohrmann, Robin	Retroactive O.T. @ 1.5x @ Step 29 (9.17.19-10.15.19)	35.35	818.36
Check	10/31/19	3041-3348	Dohrmann, Robin	0.75 Hrs. O.T. @ 2.0x @ Step 29 - 10.1.19 - 10.15.19	80.21	898.57
Check	10/31/19	3041-3348	Dohrmann, Robin	Retroactive O.T. @ 2.0x @ Step 29 (9.17.19-10.15.19)	3.82	902.39
Check	10/31/19	3041-3348	Dohrmann, Robin	21.0 Hrs. O.T. @ 1.5x @ Step 29 - 10.1.19 - 10.15.19	1,684.44	2,586.83
Total Dohrmann, Robin					2,586.83	2,586.83
<b>Driscoll, Stephen</b>						
Check	10/15/19	2881-3334	Driscoll, Stephen	4.0 Hrs. O.T. @ 1.5x	336.89	336.89
Check	10/15/19	2881-3334	Driscoll, Stephen	3.5 Hrs. O.T. @ 2.0x	393.04	729.93
Check	10/31/19	3041-3350	Driscoll, Stephen	4.0 Hrs. O.T. @ 1.5x	336.89	1,066.82
Check	10/31/19	3041-3350	Driscoll, Stephen	2.0 Hrs. O.T. @ 2.0x	224.59	1,291.41
Total Driscoll, Stephen					1,291.41	1,291.41
<b>La Torre, Daniel P.</b>						
Check	10/31/19	3041-3352	La Torre, Daniel P.	6.0 Hrs. O.T. @ 1.5x	458.35	458.35
Check	10/31/19	3041-3352	La Torre, Daniel P.	1.75 Hrs. O.T. @ 2.0x	178.25	636.60
Total La Torre, Daniel P.					636.60	636.60
<b>Rosser, John</b>						
Check	10/15/19	2881-3339	Rosser, John	15.50 Hrs. O.T. @ 1.5x	1,127.69	1,127.69
Total Rosser, John					1,127.69	1,127.69
<b>Triola, Joseph</b>						
Check	10/15/19	2881-3343	Triola, Joseph	16.0 Hrs. O.T. @ 1.5x	1,222.27	1,222.27
Check	10/15/19	2881-3343	Triola, Joseph	2.0 Hrs. O.T. @ 2.0x	203.71	1,425.98
Total Triola, Joseph					1,425.98	1,425.98
<b>TOTAL</b>					<b>10,454.70</b>	<b>10,454.70</b>

# Sanitary District No. 5 of Marin County



## District Management Report

October 2019

### Contents:

- Transmittal Memo
- Financial/Budgetary
- HR & Personnel
- Business Administration
- Collection System Performance
- Treatment Plant Performance – Paradise Cove
- Treatment Plant Performance – Main Plant
- Pollution Prevention Activities
- Continuing Education & Safety Training
- Capital Improvement Projects

## **Transmittal Memo**

**Date:** November 21, 2019  
**To:** Board of Directors  
**From:** Tony Rubio, District Manager/ Chief Plant Operator  
**Subject:** Management Report for October 2019

### **Fiscal Status**

Period Covered: July 1, 2019 –October 31, 2019  
Percent of Fiscal Year: 33%  
Percent of Budgeted Income to Date: 5.4%  
Percent of Budgeted Expenditures to Date: 28.8% operating only

### **Personnel**

Separations: None  
New Hires: None  
Promotions: None  
Recruitment Activities: None

### **Regulatory Compliance**

MP Collection System WDR Compliance: Full Compliance with all regulations  
PC Collection System WDR Compliance: Full Compliance with all regulations  
MP NPDES Permit Compliance: Full Compliance with all regulations  
PC NPDES Permit Compliance: Full Compliance with all regulations  
BAAQMD Compliance: Full Compliance with all regulations  
Bio-Solids Compliance: Full Compliance with all regulations  
Significant Comments: None

*Summary of Operational Highlights are on the following pages.*

## ***Significant Events for the Month of October 2019 Include:***

### **Financial/Budgetary/Business Administration**

- District Code now online- Website – [www.sani5.org](http://www.sani5.org)
- End of fiscal year closing continues- working with Perotti & Carrade -Audit
- Received GASB 75 report from GovInvest.

### **HR and Personnel**

- Substantial amount of overtime this month due to PSPS event.

### **Continuing Education and Safety Training**

- Creating Emergency Preparedness Plan for use on Districts website – ongoing
- CB passed CWEA collections 1 test- cross training.

### **Collection System Performance**

#### **Main Plant Tiburon/Belvedere:**

- Pump station annual preventive maintenance work orders being performed
- Submitted No Spill report for month of September RWQCB on CIWQS

#### **Paradise Cove:**

- Submitted No Spill report for month of September to RWQCB on CIWQS
- Pump station annual preventive maintenance work order being performed

### **Treatment Plant Performance**

#### **Paradise Cove:**

- Satisfactory- Looking into wet well lids for plant and off-line unit being prepped for upcoming coating.
- Looking to get vendors to provide proposals to coat offline treatment plant.

#### **Main Plant:**

- Submitted September 2019 SMR and DMR to the RWQCB
- Preventive Maintenance Work Orders being performed.
- In communication with Lystek Facility in Fairfield CA for future Bio-solids disposal
- Blower Maintenance scheduled for November
- Emergency generator ran for 43 hrs during PSPS shut off (update run time hrs on O&M)

- Added 2 other fuel vendors to contact list for diesel fuel deliveries
- PSPS discussion of items encountered during last event.
- Received proposal from Waste Haulers for new Bio-Solids waste hauling agreement

#### **Pollution Prevention Activities**

- CB attended P2 Monthly Meeting.

#### **Capital Improvement Projects**

- 19' Sewer Rehabilitation Project Contract under way – Belvedere work now complete- Tiburon Work now complete as well
- Design of Cove Road force main rehabilitation project underway. Nute Engineers to submit updated application to Caltran week of 11/18/2019
- Vistazo West washout repair project underway
- Secondary Clarifier Drain Valve replacement project completed, looking to get proposals to rehab drain line in parking lot.
- Getting proposals for 100kw portable diesel genset – back up power for contingencies Cove Road, Seafirth, Main Plant

**Project:** 2019 Sewer Rehab Tiburon / Belvedere

**Change Order No. 3**

Item #5

**Date:** November 7, 2019

**Owner:** Sanitary District No. 5

**Phone:** (415) 435-1501

**Contractor:** Glosage Engineering

**Phone:** (510) 815-4505

The following change is hereby made to the contract:

**Description of Change:**

Based on the recent video of the upper Lagoon View Drive at Vistazo West Street, Contractor pipeburst approximately 733 ft of existing VCP sewer.

Tib Quant	U/M	Item Description	Unit Price	BASE BID Total Amount
733	LF	6.63" OD HDPE PIPEBURST	\$161.50	\$118,379.50
1	EA	REMOVE EXISTING MANHOLE	\$400.00	\$400.00
2	EA	CONSTRUCT (N) SHALLOW MANHOLE	\$7,700.00	\$15,400.00
30	TON	ASPHALT CONCRETE TRENCH REPAIR	\$280.00	\$8,400.00
				<b>\$142,579.50</b>

**Reason for Change:**

At District request this work was to improve the existing damaged VCP sewers.

**Pricing Data:** \$142,579.50

**Requested by:** District

**Contract Time:** No Change

The Owner and the Contractor hereby agree that this change order constitutes full and mutual accord and satisfaction for all time, all costs, and all impacts related to this revision. In accepting this change order, the Contractor agrees that it represents a full and equitable adjustment to the Contract, and further agrees to waive all rights to file claim with respect to any difficulties arising from, or as a result of, this change.

Original Contract: \$1,044,790.00

Previous Additions: \$188,407.50

Previous Deductions: \$0.00

This Change Order: \$142,579.50

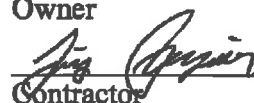
Contract to Date: \$1,375,777.00

APPROVED:



Engineer

Owner



Contractor

## Mark Wilson

---

**From:** Tony Rubio <trubio@sani5.org>  
**Sent:** Thursday, October 31, 2019 9:45 AM  
**To:** Mark Wilson  
**Subject:** Change Orders

Hey Mark,

Could you prepare another change order for us. This one will be in the Tiburon zone. Line 90-90a is 253' and line 85-75 is 480' and needs a manhole midpoint for future access and cleaning and line 75-89 is 81'

Total of 814' to add to project. It can be done as 1 separate change order. I would like to get this to our committee on the 12<sup>th</sup> of November. So I can take to the board on the 21<sup>st</sup>.

Thanks,  
Tony



RECEIVED

NOV 12 2019

Sanitary District No. 5  
of Marin County

November 8, 2019

Dear Board Members,

Mill Valley Refuse Service has received Sanitary District No. 5's RFP for Bio-Solids Hauling Services and is pleased to submit its proposal to continue providing such services to the District. As you know, Recology took over your current contract from Novato Disposal Service after purchasing that company, and Recology subcontracted with MVRS to perform hauling services for the District in September, 2018.

MVRS has been the franchised trash hauler for the Town of Tiburon since the 1950's, and has performed debris box hauling services in all its jurisdictions for over 30 years. Our record for safety and responsibility is solid, we have reliably provided hauling services to the District for the past year, and have demonstrated our ability to continue doing so in the future.

#### Cost Per Delivery

MVRS understands it must haul 10-yard containers full of Bio-Solids to Redwood Landfill in Novato and the Lystek Facility in Fairfield Suisun. The cost per haul for each location will be:

Redwood Landfill--\$360/haul

Lystek Facility--\$720/haul

The above prices will be **fixed** for the proposed five-year length of the contract. There are **NO** annual CPI increases proposed as the District has accepted in past contracts.

#### Insurance Requirements

MVRS understands the District's insurance coverage requirements and is already meeting them through our subcontracting agreement with Recology. We will, of course, provide proof of coverage at the District's request.

#### Storage and Maintenance of Sludge Boxes

MVRS has a debris box yard and service facility in San Rafael, so storage and maintenance of District sludge boxes pose no difficulty.

Sincerely Yours,

James Iavarone



**Exhibit B**

**ACCEPTANCE OF FORM OF PROFESSIONAL SERVICES AGREEMENT**

NAME OF RESPONDENT Mill Valley Refuse Service

The above Respondent hereby agrees to sign Professional Services Agreement substantially similar to the form of Professional Services Agreement attached to the RFP as Exhibit A, or has attached proposed modifications to the form of Professional Services Agreement as an Addendum to this signed Acceptance.

**[Respondent must check one of the following boxes, and complete if applicable]**

☐ Respondent's proposed modifications to the Professional Services Agreement are attached as Attachment[s] [complete as applicable] to this signed Acceptance, pursuant to the "Strikeout" or "Underline" format described in the RFP.

☒ Respondent has no proposed modifications to the Professional Services Agreement.

Signature: James Iavarone

Print Name: James Iavarone

Title: Managing Owner

Date: 11/6/19

Exhibit C

**PROPOSAL AUTHORIZATION**

NAME OF RESPONDENT Mill Valley Refuse Service

1. The above-named Respondent is a Respondent to the Request for Qualifications / Request for Proposals of the Sanitary District No.5 of Marin County for professional services for its Bio-Solids Hauling Project and possesses the legal authority to submit this Proposal.

2. The undersigned is authorized to conduct all negotiations for and legally bind the Respondent in all matters relating to this Proposal submittal.

3. The undersigned has reviewed, understands, is able to comply with and agrees to be bound by the General Conditions Governing the RFP described in Section 7 of the RFP.

4. The undersigned grants the District a right to conduct reference checks and reasonable investigation of all information provided by Respondent.

5. The undersigned certifies that this Proposal is irrevocable until 120 days after its submission date.

Signature: \_\_\_\_\_

James Iavarone

Print Name: \_\_\_\_\_

James Iavarone

Title: \_\_\_\_\_

Managing Owner

Date: \_\_\_\_\_

11/6/19

**ACKNOWLEDGMENT OF ADDENDUM FORM ("ACKNOWLEDGMENT")**

TO THE SANITARY DISTRICT NO.5 OF MARIN COUNTY

THIS ACKNOWLEDGMENT IS SUBMITTED BY:

Mill Valley Refuse Service  
(Firm/Company Name)

1. In submitting this Proposal, Proposer represents that the Proposer has examined all of the Contract Documents and the following Addenda (receipt of all of which is hereby acknowledged).

Addendum Number	Addendum Date	Signature of Proposer

# WULFF, HANSEN & Co.

ESTABLISHED 1931

INVESTMENT BANKERS

100 SMITH RANCH ROAD, SUITE 330

SAN RAFAEL, CALIFORNIA 94903

(415) 421-8900

Item #7

**Tony Rubio**  
**General Manager**  
**Sanitary District No.5 of Marin County**  
**2001 Paradise Drive**  
**Tiburon, CA 94920**

Dear Mr. Rubio,

This letter is being provided to you in order to comply with legal and regulatory requirements, specifically those of the Municipal Securities Rulemaking Board's Rule G-42. Regulations require us, before providing any municipal advice to a municipal entity, to describe the nature of the relationship under which we would contemplate providing such advice and describe the circumstances and terms under which we would provide it. Please be aware that this disclosure letter is not a contract and that at this time you have no obligation to us of any kind. Any such obligation in the future would be reflected in an agreed-upon written contract or engagement letter ("Agreement") between you and ourselves.

Rule G-42 requires that in the absence of such an Agreement, or while awaiting creation and execution of such a document, we are to provide you with a written statement describing the potential advisory relationship between Wulff, Hansen & Co. ("Municipal Advisor" or "Advisor") and TIBURON/BELVEDERE WASTEWATER FINANCING AUTHORITY and Sanitary District No.5 of Marin County (the "Client"), and disclosing certain conflicts and other matters. This letter will serve as written documentation required under MSRB Rule G-42 of certain specific terms, disclosures and other items of information relating to our relationship as of the date this letter is signed by Municipal Advisor. If this information materially changes during the relationship any such change will be described in writing and delivered to you.

**i. Scope of Services.**

**(a) *Services to be provided.*** A detailed scope of services would be contained in a Municipal Advisory Agreement and any Addenda thereto should we be retained by Client. Our general understanding with respect to Municipal Advisor's potential engagement with Client is as follows:

To be described in an Agreement should one be executed.

**(b) *Limitations on Scope of Services.*** The Scope of Services is subject to the following limitations. We would not provide:

- Legal services of any kind, including advice on Client's responsibilities under the federal securities laws relating to disclosure in connection with municipal securities, inclusive of the Securities Act of 1933 and Rule 10b-5 promulgated under the Securities Exchange Act of 1934
- Engineering services of any kind;
- Special Tax Rate Consulting
- Absorption Analysis;
- Fiscal Consulting;
- Underwriting or placement agent services;
- Accounting services;
- Advice concerning investment or other use of excess bond proceeds, if any, resulting from an issuance of municipal securities.

**2. Municipal Advisor's Regulatory Duties When Servicing Client.**

MSRB Rule G-42 requires that Municipal Advisor make a reasonable inquiry as to the facts that are relevant to Client's determination whether to proceed with a course of action or that form the basis for any advice provided by Municipal Advisor to Client. The rule also requires that Municipal Advisor undertake a reasonable investigation to determine that it is not basing any recommendation on materially inaccurate or incomplete information. Municipal Advisor is also required under the rule to use reasonable diligence to know the essential facts about Client and the authority of each person acting on Client's behalf.

Accordingly, Municipal Advisor will seek Client's assistance and cooperation, and the assistance and cooperation of Client's agents, with the carrying out by Municipal Advisor of these regulatory duties, including providing to Municipal Advisor accurate and complete information and reasonable access to relevant documents, other information and personnel needed to fulfill such duties. In addition, to the extent Client seeks to have Municipal Advisor provide advice with regard to any recommendation made by a third party, Municipal Advisor requests that Client provide to Municipal Advisor written direction to do so as well as any information it has received from such third party relating to its recommendation.

**3. Term.** The term of Municipal Advisor's engagement as municipal advisor and the terms on which the engagement may be terminated would be as follows: To be described in an Agreement should one be executed.

**4. Compensation.** The form and basis of compensation for Municipal Advisor's services as municipal advisor would be expected to be as follows: To be described in an Agreement should one be executed.

**5. Required Disclosures.** MSRB Rule G-42 requires that Municipal Advisor provide you with the following disclosures of material conflicts of interest and of information regarding certain legal events and disciplinary history.

**(a) Disclosures of Conflicts of Interest.** MSRB Rule G-42 requires that municipal advisors provide to their clients disclosures relating to any actual or potential material conflicts of interest, including certain categories of potential conflicts of interest identified in Rule G-42, if applicable. If no such material conflicts of interest are known to exist based on the exercise of reasonable diligence by the municipal advisor, municipal advisors are required to provide a written statement to that effect.

Accordingly, any material conflicts of interest known to Municipal Advisor in connection with the Scope of Services under the Agreement have been previously disclosed in that Agreement, any Addenda thereto, and/or are disclosed below, including those conflicts applying to various forms of compensation which are described in a document attached to this letter. We believe that these conflicts are mitigated by our duties to Client as assigned to us under Federal and State laws and regulations and the rules of the Municipal Securities Rulemaking Board. In addition, because Municipal Advisor is a broker-dealer with significant business and economic interests due to the nature of its overall business, the success and profitability of Municipal Advisor is not dependent on maximizing short-term revenue generated from individualized recommendations to its clients but instead is dependent on long-term profitability built on a foundation of integrity, quality of service and strict adherence to its fiduciary duty where such duty exists. Furthermore, Municipal Advisor's municipal advisory supervisory structure, leveraging our long-standing and comprehensive broker-dealer supervisory processes and practices, provides strong safeguards against individual representatives of Municipal Advisor potentially departing from their regulatory duties due to personal interests.

***Other Municipal Advisor or Underwriting Relationships.***

Municipal Advisor serves a wide variety of other clients that may from time to time have interests that could have a direct or indirect impact on the interests of Client. For example, Municipal Advisor serves as municipal advisor to other municipal advisory clients and, in such cases, owes a regulatory duty to such other clients just as it does to Client under this Agreement. These other clients may, from time to time and

depending on the specific circumstances, have competing interests, such as accessing the new issue market with the most advantageous timing and with limited competition at the time of the offering. In acting in the interests of its various clients, Municipal Advisor could potentially face a conflict of interest arising from these competing client interests. In other cases, as a broker-dealer that engages in underwritings of new issuances of municipal securities by other municipal entities, the interests of Municipal Advisor to achieve a successful and profitable underwriting for its municipal entity underwriting clients could potentially constitute a conflict of interest if, as in the example above, the municipal entities that Municipal Advisor serves as underwriter or municipal advisor have competing interests in seeking to access the new issue market with the most advantageous timing and with limited competition at the time of the offering. None of these other engagements or relationships would impair Municipal Advisor's ability to fulfill its regulatory duties to Client.

***Broker-Dealer and Investment Advisory Business.***

Municipal Advisor is a broker-dealer and investment advisory firm that engages in a broad range of securities-related activities to service its clients, in addition to serving as a municipal advisor or underwriter. Such securities-related activities, which may include but are not limited to the buying and selling of new issue and outstanding securities and investment advice in connection with such securities, including securities of Client, may be undertaken on behalf of, or as counterparty to, Client, personnel of Client, and current or potential investors in the securities of Client. These other clients may, from time to time and depending on the specific circumstances, have interests in conflict with those of Client, such as when their buying or selling of Client's securities may have an adverse effect on the market for Client's securities, and the interests of such other clients could create the incentive for Municipal Advisor to make recommendations to Client that could result in more advantageous pricing for the other clients. Furthermore, any potential conflict arising from Municipal Advisor effecting or otherwise assisting such other clients in connection with such transactions is mitigated by means of such activities being engaged in on customary terms through units or associated persons of the Municipal Advisor that operate independently from Municipal Advisor's municipal advisory business, thereby reducing the likelihood that the interests of such other clients would have an impact on the services provided by Municipal Advisor to Client under this Agreement.

***Secondary Market Transactions in Client's Securities.***

Municipal Advisor, in connection with its sales and trading activities, may take a principal position in securities, including securities of Client, and therefore Municipal Advisor could have interests in conflict with those of Client with respect to the value of Client's securities while held in inventory and the levels of mark-up or mark-down that may be available in connection with purchases and sales thereof. In particular, Municipal Advisor or its affiliates may submit orders for and acquire Client's securities issued in an Issue under the Agreement from members of the underwriting syndicate, either for its own account or for the accounts of its customers. This activity may result in a conflict of interest with Client in that it could create the incentive for Municipal Advisor to make recommendations to Client that could result in more advantageous pricing of Client's bond in the marketplace. Any such conflict is mitigated by means of such activities being engaged in on customary terms through staff members of the Municipal Advisor that operate independently from Municipal Advisor's municipal advisory business, thereby reducing the likelihood that such investment activities would have an impact on the services provided by Municipal Advisor to Client under this Agreement.

***Other Conflicts of Interest.***

Municipal Advisor has been retained by the City of Belvedere for various infrastructure financing projects. However, Municipal Advisor does not believe this presents any apparent conflict of interest. Should any conflict become apparent, Municipal Advisor will notify Client and work with Client and the City of Belvedere to mitigate such conflict of interest to the satisfaction of all parties.

(b) ***Disclosures of Information Regarding Legal Events and Disciplinary History.*** MSRB Rule G-42 requires that municipal advisors provide to their clients certain disclosures of legal or disciplinary events

material to its client's evaluation of the municipal advisor or the integrity of the municipal advisor's management or advisory personnel. Accordingly, Municipal Advisor addresses below the required disclosures and related information in connection with such disclosures.

Municipal Advisor and members of its staff have been subject to legal or disciplinary events. Municipal Advisor reasonably believes that it has no such events that may be material to Client's evaluation of Municipal Advisor as such. However, during its almost 90 years as a broker/dealer, Municipal Advisor has accumulated a number of legal and disciplinary events related explicitly to its broker/dealer business as such. Specific instances of such events are reported on Municipal Advisor's Form MA, on its staff members' Forms MA-I, and in FINRA's Brokercheck system. Direct links to all of this information are provided on our website at <https://www.wulffhansen.com/municipal-advisor-disclosures/>

The date of the last material change to a legal or disciplinary event disclosure on any Form MA or Form MA-I filed by Municipal Advisor with the SEC is July 9, 2015, which change consisted of removal of a previously reported disclosure concerning an investment-related consumer-initiated customer complaint against one of Municipal Advisor's staff which had been abandoned by the customer without resolution for more than 24 months prior to its removal.

(c) **Customer Protections.** Municipal Advisor is registered with the Securities and Exchange Commission and the Municipal Securities Rulemaking Board. The latter's website is located at [www.msrb.org](http://www.msrb.org). A municipal advisory client brochure is available on that website that describes the protections that may be provided by the MSRB's rules and how a client may file a complaint with an appropriate regulatory authority.

(d) **Future Supplemental Disclosures.** As required by MSRB Rule G-42, this information may be supplemented or amended, from time to time as needed, to reflect changed circumstances resulting in new conflicts of interest or changes in the conflicts of interest described above, or to provide updated information with regard to any legal or disciplinary events of Municipal Advisor. Municipal Advisor will provide Client with any such supplement or amendment as it becomes available throughout the term of the Agreement.

Wulff, Hansen & Co

By: 

Title: President

Date: 10-3-19

**ACKNOWLEDGED:**

Sanitary District No.5 of Marin County

By: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

## **DISCLOSURE OF CONFLICTS OF INTEREST WITH VARIOUS FORMS OF COMPENSATION**

The Municipal Securities Rulemaking Board requires us, as your ADVISOR, to provide written disclosure to you about the actual or potential conflicts of interest presented by various forms of compensation. We must provide this disclosure unless you have required that a particular form of compensation be used. You should select a form of compensation that best meets your needs and the agreed upon scope of services.

**Forms of compensation; potential conflicts.** The forms of compensation for ADVISORs vary according to the nature of the engagement and requirements of the CITY, among other factors. Various forms of compensation present actual or potential conflicts of interest because they may create an incentive for an advisor to recommend one course of action over another if it is more beneficial to the advisor to do so. This document discusses various forms of compensation and the timing of payments to the advisor.

**Fixed fee.** Under a fixed fee form of compensation, the ADVISOR is paid a fixed amount established at the outset of the transaction. The amount is usually based upon an analysis by the CITY and the advisor of, among other things, the expected duration and complexity of the transaction and the agreed-upon scope of work that the advisor will perform. This form of compensation presents a potential conflict of interest because, if the transaction requires more work than originally contemplated, the advisor may suffer a loss. Thus, the advisor may recommend less time-consuming alternatives, or fail to do a thorough analysis of alternatives. There may be additional conflicts of interest if the ADVISOR's fee is contingent upon the successful completion of a financing, as described below.

**Hourly fee.** Under an hourly fee form of compensation, the ADVISOR is paid an amount equal to the number of hours worked by the advisor times an agreed-upon hourly billing rate. This form of compensation presents a potential conflict of interest if the CITY and the advisor do not agree on a reasonable maximum amount at the outset of the engagement, because the advisor does not have a financial incentive to recommend alternatives that would result in fewer hours worked. In some cases, an hourly fee may be applied against a retainer (e.g., a retainer payable monthly), in which case it is payable whether or not a financing closes. Alternatively, it may be contingent upon the successful completion of a financing, in which case there may be additional conflicts of interest, as described below.

**Fee contingent upon the completion of a financing or other transaction.** Under a contingent fee form of compensation, payment of an advisor's fee is dependent upon the successful completion of a financing or other transaction. Although this form of compensation may be customary for the CITY, it presents a conflict because the advisor may have an incentive to recommend unnecessary financings or financings that are disadvantageous to the CITY. For example, when facts or circumstances arise that could cause the financing or other transaction to be delayed or fail to close, an advisor may have an incentive to discourage a full consideration of such facts and circumstances, or to discourage consideration of alternatives that may result in the cancellation of the financing or other transaction.

**Fee paid under a retainer agreement.** Under a retainer agreement, fees are paid to an ADVISOR periodically (e.g., monthly) and are not contingent upon the completion of a financing or other transaction. Fees paid under a retainer agreement may be calculated on a fixed fee basis (e.g., a fixed fee per month regardless of the number of hours worked) or an hourly basis (e.g., a minimum monthly payment, with additional amounts payable if a certain number of hours worked is exceeded). A retainer agreement does not present the conflicts associated with a contingent fee arrangement (described above).

**Fee based upon principal or notional amount and term of transaction.** Under this form of compensation, the ADVISOR's fee is based upon a percentage of the principal amount of an issue of securities (e.g., bonds) or, in the case of a derivative, the present value of or notional amount and term of the derivative. This form of compensation presents a conflict of interest because the advisor may have an incentive to advise the CITY to increase the size of the securities issue or modify the derivative for the purpose of increasing the advisor's compensation.



# WULFF, HANSEN & Co.

ESTABLISHED 1931

## INVESTMENT BANKERS

100 SMITH RANCH ROAD, SUITE 330

SAN RAFAEL, CALIFORNIA 94903

(415) 421-8900

November 21, 2019

Mr. Tony Rubio  
General Manager  
Sanitary District No. 5 of Marin County  
Tiburon/Belvedere Wastewater Financing Authority  
2001 Paradise Drive  
Tiburon, CA 94920

This is an Agreement (AGREEMENT) between Sanitary District No. 5 of Marin County, the Tiburon/Belvedere Wastewater Financing Authority (CLIENT) and Wulff, Hansen & Co. (MUNICIPAL ADVISOR or ADVISOR) a registered municipal advisory firm. The purpose of the AGREEMENT is to provide a framework allowing MUNICIPAL ADVISOR to provide municipal advisory services (SERVICES) to CLIENT from time to time as may be mutually agreed upon by both parties. All such SERVICES to be delivered under this Agreement will be specifically described in an Addendum to the AGREEMENT accepted by both parties, and no services will be provided in the absence of such an Addendum. The terms and conditions of this Agreement are set forth below. CLIENT understands and acknowledges that any information or services provided by ADVISOR pursuant to an Addendum to this Agreement are for the purpose of serving as Municipal Advisor to CLIENT and not as an Underwriter or otherwise facilitating the placement of municipal securities issued by CLIENT.

This AGREEMENT also contains various disclosures and other information required under MSRB Rule G-42 and, with its Addenda, will serve as written documentation of certain specific terms, disclosures and other items of information relating to our relationship as of the date this AGREEMENT is signed by ADVISOR. If this information materially changes during the relationship any such change will be described in writing and delivered to you.

### 1. **Scope of Services.**

#### **(a) Services to be provided.**

From time to time CLIENT may request that ADVISOR provide municipal advisory services relating to a specific project or projects. The scope of any such services, any limitations thereon, any compensation to be earned by ADVISOR in connection with their delivery, and any conflicts of interest (other than those disclosed in this AGREEMENT) that ADVISOR may have in connection with such services will be described in an Addendum to this AGREEMENT. No services which are not so described and documented in an Addendum will be provided by ADVISOR to CLIENT.

#### **(b) Limitations on Scope of Services**

Where an Addendum to this Agreement describes the scope of services to be provided under that Addendum, any limitations on such scope in addition to those included in this Agreement will be described in that Addendum.

Unless otherwise specifically provided in an Addendum to this Agreement, ADVISOR is not responsible for preparing any preliminary or final official statement, or for certifying as to the accuracy or completeness of any preliminary or final official statement, other than with respect to any information about Municipal Advisor provided by Municipal Advisor for inclusion in such documents. In addition, ADVISOR will not provide any of the following services in connection with any engagement pursuant to this Agreement or any associated Addenda:

- a) Legal services of any kind;
- b) Assistance to CLIENT with regard to CLIENT's responsibilities under the federal securities laws and regulations relating to initial or continuing disclosure in connection with municipal securities, inclusive of the Securities Act of 1933 and Rule 10b-5 promulgated under the Securities Exchange Act of 1934. Such services will be provided only if ADVISOR is explicitly engaged by CLIENT in a separate contract unrelated to this Agreement or any Addenda thereto.
- c) Engineering services of any kind;
- d) Special Tax Rate Consulting

- e) Absorption Analysis or the review thereof;
- f) Feasibility Studies or the review thereof
- g) Fiscal Consulting;
- h) Underwriting or placement agent services;
- i) Accounting services;
- j) Investment advice concerning investment of excess bond proceeds, if any, resulting from an issuance of municipal securities
- k) Advice concerning derivative transactions or other municipal financial products, including but not limited to advice regarding swap transactions or strategies.

CLIENT acknowledges its responsibility with respect to compliance with federal securities laws and represents its intention to comply in all respects with such laws. CLIENT acknowledges and understands that state and federal laws relating to disclosure in connection with municipal securities may apply to the CLIENT and that the failure of ADVISOR to advise CLIENT respecting these laws shall not constitute a breach by ADVISOR of any of its duties and responsibilities under this Agreement.

**2. Municipal Advisor's Regulatory Duties When Advising CLIENT.**

MSRB Rule G-42 requires that Municipal Advisor make a reasonable inquiry as to the facts that are relevant to CLIENT's determination whether to proceed with a course of action or that form the basis for any advice provided by Municipal Advisor to CLIENT. The rule also requires that Municipal Advisor undertake a reasonable investigation to determine that it is not basing any recommendation on materially inaccurate or incomplete information. Municipal Advisor is also required under the rule to use reasonable diligence to know the essential facts about CLIENT and the authority of each person acting on CLIENT's behalf.

Accordingly, Municipal Advisor will seek CLIENT's assistance and cooperation, and the assistance and cooperation of CLIENT's agents, with the carrying out by Municipal Advisor of these regulatory duties, including providing to Municipal Advisor accurate and complete information and reasonable access to relevant documents, other information and personnel needed to fulfill such duties. In addition, to the extent CLIENT seeks to have Municipal Advisor provide advice with regard to any recommendation made by a third party, Municipal Advisor requests that CLIENT provide to Municipal Advisor written direction to do so as well as any information it has received from such third party relating to its recommendation.

**3. Term.**

This AGREEMENT shall become effective upon acceptance by both parties and shall terminate December 31, 2020 unless terminated earlier by one of the parties. Either party may terminate this AGREEMENT upon thirty days written notice to the other party or as may be mutually agreed by both parties. ADVISOR's engagement to provide municipal advice on a specific project or projects described in an Addendum to this document shall terminate as described in that Addendum.

**4. Compensation.**

The form and basis of any compensation for any of Municipal Advisor's services provided or expenses incurred pursuant to an Addendum to this AGREEMENT will be as described in that Addendum.

**5. Limitation of Liability.**

In the absence of willful misconduct, bad faith, gross negligence or reckless disregard of obligations or duties hereunder on the part of Municipal Advisor or any of its associated persons, Municipal Advisor and its associated persons shall have no liability to CLIENT for any act or omission in the course of, or connected with, rendering services hereunder, or for any error of judgment or mistake of law, or for any loss arising out of any issuance of municipal securities, any municipal financial product or any other investment, or for any financial or other damages resulting from CLIENT's election to act or not to act, as the case may be, contrary to any advice or recommendation provided by Municipal Advisor to CLIENT. No recourse shall be had against Municipal Advisor for loss, damage, liability, cost or expense (whether direct, indirect or consequential) of CLIENT arising out of or in defending, prosecuting, negotiating or responding to any inquiry, questionnaire, audit, suit, action, or other proceeding brought or received

from the Internal Revenue Service in connection with any issue or otherwise relating to the tax treatment of any issue, or in connection with any opinion or certificate rendered by counsel or any other party. Notwithstanding the foregoing, nothing contained in this paragraph or elsewhere in this Agreement shall constitute a waiver by CLIENT of any of its legal rights under applicable U.S. federal securities laws or any other laws whose applicability is not permitted to be contractually waived nor shall it constitute a waiver or diminution of Municipal Advisor's fiduciary duty to CLIENT under Section 15B(c)(1) of the Securities Exchange Act of 1934, as amended, and the rules thereunder.

**6. Required Disclosures.**

MSRB Rule G-42 requires that MUNICIPAL ADVISOR provide CLIENT with the following disclosures of material conflicts of interest and of information regarding certain legal events and disciplinary history.

**(a) *Disclosures of Conflicts of Interest.*** MSRB Rule G-42 requires that municipal advisors provide to their clients disclosures relating to any actual or potential material conflicts of interest, including certain categories of potential conflicts of interest identified in Rule G-42, if applicable. If no such material conflicts of interest are known to exist based on the exercise of reasonable diligence by the municipal advisor, municipal advisors are required to provide a written statement to that effect.

Accordingly, any material conflicts of interest known to MUNICIPAL ADVISOR in connection with the Scope of Services are disclosed below, including those conflicts applying to various forms of compensation which are described in a document attached to this AGREEMENT. We believe that these conflicts are mitigated by our duties to CLIENT as assigned to us under Federal and State laws and regulations and the rules of the Municipal Securities Rulemaking Board. In addition, because MUNICIPAL ADVISOR is a broker-dealer with significant business and economic interests due to the nature of its overall business, the success and profitability of MUNICIPAL ADVISOR is not dependent on maximizing short-term revenue generated from individualized recommendations to its clients but instead is dependent on long-term profitability built on a foundation of integrity, quality of service and strict adherence to its fiduciary duty where such duty exists. Furthermore, MUNICIPAL ADVISOR's municipal advisory supervisory structure, leveraging our long-standing and comprehensive broker-dealer supervisory processes and practices, provides strong safeguards against individual representatives of MUNICIPAL ADVISOR potentially departing from their regulatory duties due to personal interests.

***Other Municipal Advisor or Underwriting Relationships.***

MUNICIPAL ADVISOR serves a wide variety of other clients that may from time to time have interests that could have a direct or indirect impact on the interests of CLIENT. For example, MUNICIPAL ADVISOR serves as municipal advisor to other municipal advisory clients and, in such cases, owes a regulatory duty to such other clients just as it does to CLIENT under this Agreement. These other clients may, from time to time and depending on the specific circumstances, have competing interests, such as accessing the new issue market with the most advantageous timing and with limited competition at the time of the offering. In acting in the interests of its various clients, MUNICIPAL ADVISOR could potentially face a conflict of interest arising from these competing client interests. In other cases, as a broker-dealer that engages in underwritings of new issuances of municipal securities by other municipal entities, the interests of MUNICIPAL ADVISOR to achieve a successful and profitable underwriting for its municipal entity underwriting clients could potentially constitute a conflict of interest if, as in the example above, the municipal entities that MUNICIPAL ADVISOR serves as underwriter or municipal advisor have competing interests in seeking to access the new issue market with the most advantageous timing and with limited competition at the time of the offering. None of these other engagements or relationships would impair MUNICIPAL ADVISOR's ability to fulfill its regulatory duties to CLIENT.

***Broker-Dealer and Investment Advisory Business.***

MUNICIPAL ADVISOR is a broker-dealer and investment advisory firm that engages in a broad range of securities-related activities to service its clients, in addition to serving as a municipal advisor or underwriter. Such securities-related activities, which may include but are not limited to the buying and selling of new issue and outstanding securities and investment advice in connection with such securities, including securities of CLIENT, may be undertaken on behalf of, or as counterparty to, CLIENT, personnel of CLIENT, and current or potential investors in

the securities of CLIENT. These other clients may, from time to time and depending on the specific circumstances, have interests in conflict with those of CLIENT, such as when their buying or selling of CLIENT's securities may have an adverse effect on the market for CLIENT's securities, and the interests of such other clients could create the incentive for MUNICIPAL ADVISOR to make recommendations to CLIENT that could result in more advantageous pricing for the other clients. Furthermore, any potential conflict arising from MUNICIPAL ADVISOR effecting or otherwise assisting such other clients in connection with such transactions is mitigated by means of such activities being engaged in on customary terms through units of the MUNICIPAL ADVISOR that operate separately from MUNICIPAL ADVISOR's municipal advisory business, thereby reducing the likelihood that the interests of such other clients would have an impact on the services provided by MUNICIPAL ADVISOR to CLIENT under this Agreement.

***Other Business Relationships***

MUNICIPAL ADVISOR may have existing or future business relationships, unrelated to CLIENT or MUNICIPAL ADVISOR's services to CLIENT, with underwriters, placement agents, attorneys, accountants, financial institutions, contractors or other entities whose services it may recommend to CLIENT or whom CLIENT may select on its own initiative. MUNICIPAL ADVISOR's business relationships with such entities may include payments or referrals made to Advisor by such entities or payments or referrals made by Advisor to such entities in connection with matters wholly unrelated to CLIENT's business or activities. Because under no circumstances will Advisor accept any form of payment or other remuneration, directly or indirectly, from any third party in connection with Advisor's services to CLIENT, Advisor believes that none of these other engagements or relationships would create a material conflict or otherwise impair MUNICIPAL ADVISOR's ability to fulfill its regulatory duties to CLIENT.

***Secondary Market Transactions in CLIENT's Securities.***

MUNICIPAL ADVISOR, in connection with its sales and trading activities, may take a principal position in securities, including securities of CLIENT, and therefore MUNICIPAL ADVISOR could have interests in conflict with those of CLIENT with respect to the value of CLIENT's securities while held in inventory and the levels of mark-up or mark-down that may be available in connection with purchases and sales thereof. In particular, MUNICIPAL ADVISOR or its affiliates may submit orders for and acquire CLIENT's securities issued in an Issue under the Agreement from members of the underwriting syndicate, either for its own account or for the accounts of its customers. This activity may result in a conflict of interest with CLIENT in that it could create the incentive for MUNICIPAL ADVISOR to make recommendations to CLIENT that could result in more advantageous pricing of CLIENT's bond in the marketplace. Any such conflict is mitigated by means of such activities being engaged in on customary terms through staff members of the MUNICIPAL ADVISOR that operate independently from MUNICIPAL ADVISOR's municipal advisory business, thereby reducing the likelihood that such investment activities would have an impact on the services provided by MUNICIPAL ADVISOR to CLIENT under this Agreement.

***Other Conflicts of Interest.*** None.

(b) ***Disclosures of Information Regarding Legal Events and Disciplinary History.*** MSRB Rule G-42 requires that municipal advisors provide to their clients certain disclosures of legal or disciplinary events material to its client's evaluation of the municipal advisor or the integrity of the municipal advisor's management or advisory personnel. Accordingly, Municipal Advisor addresses below the required disclosures and related information in connection with such disclosures.

Required disclosures include specific information about any criminal actions, regulatory actions, investigations, terminations, judgments, liens, civil judicial actions, customer complaints, arbitrations and civil litigation. Municipal Advisor and members of its staff have been subject to various such legal or disciplinary events. Municipal Advisor reasonably believes that it as an entity has no such events that may be material to CLIENT's evaluation of Municipal Advisor as such. However, during its 85 years as a broker/dealer, Municipal Advisor has accumulated a number of such events related to its broker/dealer business as such and CLIENT may wish to review these. Members of Municipal Advisor's staff who have also been registered representatives of one or more broker/dealers have disclosures which could potentially be material to CLIENT's evaluation. Specific instances of such events can be found in Item 9 of our Form MA and, for staff members, Item 6 of Forms MA-I. Direct links to all of this information for the firm and each individual are provided on our website at:

<http://www.wulffhansen.com/publish/disclosureMA.html>

The date of the last material change to a legal or disciplinary event disclosure on any Form MA or Form MA-I filed by Municipal Advisor with the SEC is July 9, 2015, which change consisted of removal of a previously reported disclosure concerning an investment-related consumer-initiated customer complaint against one of Municipal Advisor's staff which had been abandoned by the customer without resolution for more than 24 months prior to its removal.

(c) **Customer Protections.** Municipal Advisor is registered with the Securities and Exchange Commission and the Municipal Securities Rulemaking Board. The latter's website is located at [www.msrb.org](http://www.msrb.org). A municipal advisory client brochure is available on that website that describes the protections that may be provided by the MSRB's rules and how a client may file a complaint with an appropriate regulatory authority.

(d) **Future Supplemental Disclosures.** As required by MSRB Rule G-42, this information may be supplemented or amended, from time to time as needed, to reflect changed circumstances resulting in new conflicts of interest or changes in the conflicts of interest described above, or to provide updated information with regard to any legal or disciplinary events of Municipal Advisor. Municipal Advisor will provide CLIENT with any such supplement or amendment as it becomes available throughout the term of the Agreement.

**7. Choice of Law.**

This Agreement shall be construed and given effect in accordance with the laws of the State of California.

**8. Entire Agreement.** This Instrument, including all Addenda and Appendices hereto, contains the entire agreement between the parties relating to the rights herein granted and obligations herein assumed. This Agreement may not be amended, supplemented or modified except by means of a written instrument executed by both parties.

**9. Severability.** If any provision of this Agreement is, or is held or deemed to be, invalid, inoperative or unenforceable as applied in any particular case in any jurisdiction or jurisdictions because it conflicts with any provisions of any constitution, statute, rule or public policy, or for any other reason, such circumstances shall not make the provision in question invalid, inoperative or unenforceable in any other case or circumstance, or make any other provision or provisions of this Agreement invalid, inoperative or unenforceable to any extent whatever.

Wulff, Hansen & Co.

By: 

Title: President

Date: 11-18-19

**ACKNOWLEDGED:**

Sanitary District No. 5 of Marin County, and  
The Belvedere/Tiburon Wastewater Financing Authority

By: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

## DISCLOSURE OF CONFLICTS OF INTEREST WITH VARIOUS FORMS OF COMPENSATION

The Municipal Securities Rulemaking Board requires us, as your Advisor, to provide written disclosure to you about the actual or potential conflicts of interest presented by various forms of compensation. We must provide this disclosure unless you have required that a particular form of compensation be used. You should select a form of compensation that best meets your needs and the agreed upon scope of services.

**Forms of compensation; potential conflicts.** The forms of compensation for Advisors vary according to the nature of the engagement and requirements of the client, among other factors. Various forms of compensation present actual or potential conflicts of interest because they may create an incentive for an Advisor to recommend one course of action over another if it is more beneficial to the Advisor to do so. This document discusses various forms of compensation and the timing of payments to the Advisor.

**Fixed fee.** Under a fixed fee form of compensation, the Advisor is paid a fixed amount established at the outset of the transaction. The amount is usually based upon an analysis by the client and the Advisor of, among other things, the expected duration and complexity of the transaction and the agreed-upon scope of work that the Advisor will perform. This form of compensation presents a potential conflict of interest because, if the transaction requires more work than originally contemplated, the advisor may suffer a loss. Thus, the advisor may recommend less time-consuming alternatives, or fail to do a thorough analysis of alternatives. There may be additional conflicts of interest if the Advisor's fee is contingent upon the successful completion of a financing, as described below.

**Hourly fee.** Under an hourly fee form of compensation, the Advisor is paid an amount equal to the number of hours worked by the advisor times an agreed-upon hourly billing rate. This form of compensation presents a potential conflict of interest if the client and the Advisor do not agree on a reasonable maximum amount at the outset of the engagement, because the advisor does not have a financial incentive to recommend alternatives that would result in fewer hours worked. In some cases, an hourly fee may be applied against a retainer (e.g., a retainer payable monthly), in which case it is payable whether or not a financing closes. Alternatively, it may be contingent upon the successful completion of a financing, in which case there may be additional conflicts of interest, as described below.

**Fee contingent upon the completion of a financing or other transaction.** Under a contingent fee form of compensation, payment of an Advisor's fee is dependent upon the successful completion of a financing or other transaction. Although this form of compensation may be customary for the client, it presents a conflict because the Advisor may have an incentive to recommend unnecessary financings or financings that are disadvantageous to the client. For example, when facts or circumstances arise that could cause the financing or other transaction to be delayed or fail to close, an Advisor may have an incentive to discourage a full consideration of such facts and circumstances, or to discourage consideration of alternatives that may result in the cancellation of the financing or other transaction.

**Fee paid under a retainer agreement.** Under a retainer agreement, fees are paid to an Advisor periodically (e.g., monthly) and are not contingent upon the completion of a financing or other transaction. Fees paid under a retainer agreement may be calculated on a fixed fee basis (e.g., a fixed fee per month regardless of the number of hours worked) or an hourly basis (e.g., a minimum monthly payment, with additional amounts payable if a certain number of hours worked is exceeded). A retainer agreement does not present the conflicts associated with a contingent fee arrangement (described above).

**Fee based upon principal or notional amount and term of transaction.** Under this form of compensation, the Advisor's fee is based upon a percentage of the principal amount of an issue of securities (e.g., bonds) or, in the case of a derivative, the present value of or notional amount and term of the derivative. This form of compensation presents a conflict of interest because the advisor may have an incentive to advise the client to increase the size of the securities issue or modify the derivative for the purpose of increasing the Advisor's compensation.

## **ADDENDUM TO MUNICIPAL ADVISORY AGREEMENT**

### **Addendum 1**

#### **1. Purpose**

This Addendum 1 to the Municipal Advisory Agreement dated November 21, 2019 between Sanitary District No.5 of Marin County, the Belvedere/Tiburon Wastewater Financing Authority (hereafter, "CLIENT") and Wulff, Hansen & Co. (hereafter, "Municipal Advisor") specifies and describes specific municipal advisory services to be performed by Municipal Advisor under that Agreement.

#### **2. Services**

##### **2.1 Scope of Services**

Municipal Advisor will provide the following services to CLIENT pursuant to this Addendum:

Municipal Advisor will advise and assist the Client in developing a refunding plan for the Belvedere/Tiburon Wastewater Financing Authority 2012 Revenue Bonds. The approximate amount to be refunded on this issue is \$8,000,000.00.

- a) Attendance at public meetings of the Client at which matters relating to the Services are considered, except routine matters, including informational and educational meetings with the public;
- b) Attendance at Client's staff meetings, or meeting with members of the public on matters relating to the Services, upon the request of the Client after reasonable notice;
- c) Work with members of the public, other public agencies, Client staff, and Board members to learn, discuss and respond to matters relating to the Services; and to develop and coordinate recommendations acceptable to interested parties;
- d) If requested, assist the Client in its selection of any needed outside professionals (e.g., Underwriter/Placement Agent, consultants, accounts, Trustee, Escrow Agent and others as appropriate).
- e) Prepare a Distribution List with contact information on all relevant participants in the project and the refinancing and Schedule indicating timing for significant steps in the process;
- f) Assist any of the Client's staff and/or special consultants in developing specific terms and conditions affecting the project and the financing so as to best reflect the Client's priorities and interests;
- g) Preparation of Cash Flow analysis and other schedules per Client's direction and related consultation;
- h) Telephone consultation with staff members to answer questions about the project, the financing and related matters;
- i) Assist in preparing and arranging for the Transaction to be sold to a private investor through a placement agent (Private Placement) or alternatively through an underwriter (Public Offering). Assist the Client in transmitting to the Bond Counsel and Disclosure Counsel requested information to be used in the creation of the Bond and Offering Documents. Assist the Client and the Placement Agent/Underwriter, Bond Counsel and other members of the financing team in closing the transaction.
- j) Assist the Client in efforts to maintain or improve the Client's credit rating, including preparation of materials, presentation and conference calls in the process of application for rating from the various credit rating agencies, if applicable;
- k) Assist, if applicable, the Client in the preparation of materials and presentation in an application process for Bond Insurance and a surety Bond for the Debt Service Reserve requirement from the various Bond Insurers

##### **2.2 Limitations on Scope of Services**

The services to be provided by Municipal Advisor to CLIENT pursuant to this Addendum are subject to the following limitations in addition to those described in the Agreement.

None.

### 3. Termination

The provisions of this Addendum 1 shall terminate and thereafter be null and void upon the completion and closing of the financing or on December 31, 2020, whichever comes first. Notwithstanding the foregoing, this Addendum may be terminated by either party upon 30 days written notice to the other party. This Addendum 1 may only be extended by a written agreement between CLIENT and Wulff, Hansen & Co.

### 4. Fees

With regard to Services to be provided by Municipal Adviser under this Addendum 1, Municipal Advisor shall be compensated as follows:

Municipal Advisor's compensation in connection with this engagement shall be paid out of the proceeds of the related financing, and shall be fully contingent on a successful closing and refunding of the 2012 Revenue Bonds. This fee will be paid by the Client upon submission of an invoice by Advisor for the services described above for payment as part of the successful and funding of the transaction. Compensation related to the contemplated transaction shall not be due to the Advisor for services rendered under this Addendum 1 if the specified financing is not completed.

Advisor's fee for services described above (estimated not to exceed par amount of \$8,000,000) shall be \$40,000.

### 5. Conflicts of Interest

Municipal Advisor is not aware of any additional material conflicts of interest to which it is subject in the context of this Addendum 1 other than those previously disclosed to CLIENT in connection with the Agreement.

Approved:  
Sanitary District No.5 of Marin County and the  
Belvedere/Tiburon Wastewater Financing Authority

/s/ \_\_\_\_\_

\_\_\_\_\_  
Print Name and Title

Date: \_\_\_\_\_

Approved:  
Wulff, Hansen & Co.

/s/  \_\_\_\_\_

Chris Charles, President

Date: 11-18-19



**RESOLUTION NO. 2019-12**

**SANITARY DISTRICT NO. 5 OF MARIN COUNTY**

**A RESOLUTION EXPRESSING OFFICIAL INTENT REGARDING  
POTENTIAL REFINANCING AND RETAINING FINANCING PROFESSIONALS**

**WHEREAS**, Sanitary District No. 5 of Marin County (the “District”) previously entered into a Joint Exercise of Powers Agreement with California Municipal Finance Authority establishing the Tiburon/Belvedere Wastewater Financing Authority (the “Authority”) for the purpose, among others, of authorizing the Authority to issue its bonds to finance and refinance the acquisition, construction and improvement of certain public capital improvements; and

**WHEREAS**, for the purpose of raising funds necessary to finance certain improvements to the District’s wastewater system, in February 2012 the Authority issued its revenue bonds captioned “10,935,000 Tiburon/Belvedere Wastewater Financing Authority (Sanitary District No. 5 of Marin County) 2012 Revenue Bonds” (the “2012 Bonds”); and

**WHEREAS**, the 2012 Bonds are secured by installment payments made by the District under an Installment Sale Agreement by and between the District and the Authority (the “Installment Sale Agreement”); and

**WHEREAS**, market conditions are such that it may be economically advantageous for the District to refinance the 2012 Bonds, and the District’s related obligations under the Installment Sale Agreement, through the issuance of refunding bonds (the “Refunding Bonds”) by the District; and

**WHEREAS**, it is desirable to retain a municipal advisor and bond counsel in connection with the possible issuance of the Refunding Bonds.

**NOW, THEREFORE, BE IT RESOLVED**, by the Board of Directors of Sanitary District No. 5 of Marin County, as follows:

Section 1. The Board hereby approves the preparation of legal and sale documents related to the possible refinancing of the 2012 Bonds and issuance of the Refunding Bonds by the District.

Section 2. Wulff, Hansen & Co., San Rafael, California, is hereby retained as municipal advisor to the District in connection with the Refunding Bonds, and Jones Hall, A Professional Law Corporation, San Francisco, California, is hereby retained as bond counsel to the District in connection with the Refunding Bonds.

Section 3. This Resolution shall be solely for the purpose of directing staff to take actions in preparation of refinancing the 2012 Bonds, and shall not be deemed a commitment on the part of this Board to issue the Refunding Bonds or otherwise provide for refinancing of the 2012 Bonds. Such final approval requires further action by the Board, including a review and approval of the financing documents to be prepared in connection therewith.

Section 4. This Resolution shall be in full force and effect immediately upon its adoption.

\* \* \* \* \*

I hereby certify that the foregoing is a full, true, and correct copy of a resolution duly and regularly passed and adopted by the Board of Directors of Sanitary District No. 5 of Marin County, California, at a meeting thereof duly held on the 21<sup>st</sup> day of November, 2019, by the following vote:

AYES, and in favor thereof, Directors: Tod Moody, John Cavalet, Michael Lasky,  
Catherine Benediktson, Richard Snyder

NOES, Directors: NONE

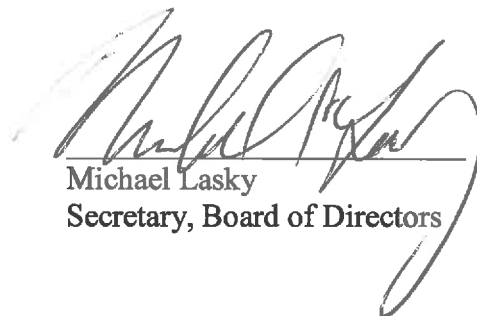
ABSENT, Directors: NONE

ABSTAIN, Directors: NONE

**APPROVED:**

  
\_\_\_\_\_  
Tod Moody  
President, Board of Directors

**ATTEST:**

  
\_\_\_\_\_  
Michael Lasky  
Secretary, Board of Directors

# MAIN PLANT CAPITAL IMPROVEMENT PROGRAM

M.P. Project Description	2019/2020	2020/2021	2021/2022	2022/2023	2023/2024	2024/2025	2025/2026	2026/2027	2027/2028	2028/2029	TOTAL
Screw Press Poly Blend Redundancy		15,000									15,000
Dry Weather Influent Pump				35,000						35,000	70,000
Wet Weather Influent Pump					60,000					60,000	120,000
Flare Rehabilitation	50,000										50,000
Headworks Grinder Replacement	15,000	15,000	15,000	15,000	15,000	15,000	15,000		15,000	15,000	150,000
Maintenance Shop Replacement/Ops Control						500,000	500,000				1,000,000
Underground Pipe and Valve Replacement	200,000										200,000
(Utility) Truck Purchase			50,000							75,000	125,000
Emergency Outfall Replacement		200,000									200,000
Aeration Basin Diffuser Upgrade									200,000		200,000
Emergency Generator Replacement					200,000						200,000
MPR Bond Payment	802,000	800,000	800,000	794,000	792,000	794,000	790,000	789,000	790,000	790,000	7,941,000
Undesignated Capital Projects	25,000	25,000	25,000	25,000	25,000	25,000	25,000	25,000	25,000	25,000	250,000
<b>Treatment Plant Total</b>	<b>1,092,000</b>	<b>1,055,000</b>	<b>890,000</b>	<b>869,000</b>	<b>1,092,000</b>	<b>1,334,000</b>	<b>1,330,000</b>	<b>829,000</b>	<b>1,030,000</b>	<b>1,000,000</b>	<b>10,521,000</b>

**TIBURON CAPITAL IMPROVEMENT PROGRAM**

<i>Tiburon Project Description</i>	2019/2020	2020/2021	2021/2022	2022/2023	2023/2024	2024/2025	2025/2026	2026/2027	2027/2028	2028/2029	TOTAL
Sewer Line Rehabilitation Program	600,000		325,000	325,000	325,000	325,000	325,000	325,000	325,000	325,000	3,200,000
Pump and Valve Replacement Program	25,000	25,000	25,000	25,000	25,000	25,000	25,000	25,000	25,000	25,000	250,000
Communications Upgrade Project											0
Force Main Rehabilitation- Multiple Sites	400,000			200,000	200,000	350,000	700,000				1,850,000
Man Hole Rehabilitation		50,000		50,000		50,000		50,000		50,000	250,000
Flow Meter Upgrade Project					50,000		50,000				100,000
CCTV Sewer System w/ New PACP Data		100,000									100,000
Vector Truck	150,000										150,000
Undesignated Capital Projects	25,000	25,000	25,000	25,000	25,000	25,000	25,000	25,000	25,000	25,000	250,000
<b><i>Tiburon Total</i></b>	<b>1,200,000</b>	<b>200,000</b>	<b>375,000</b>	<b>625,000</b>	<b>625,000</b>	<b>775,000</b>	<b>1,125,000</b>	<b>425,000</b>	<b>375,000</b>	<b>425,000</b>	<b>6,150,000</b>

**BELVEDERE CAPITAL IMPROVEMENT PROGRAM**

<i>Belvedere Project Description</i>	2019/2020	2020/2021	2021/2022	2022/2023	2023/2024	2024/2025	2025/2026	2026/2027	2027/2028	2028/2029	TOTAL
Sewer Line Rehabilitation Program	600,000	0	250,000	250,000	250,000	250,000	250,000	250,000	250,000	250,000	2,600,000
Pump and Valve Replacement Program	25,000	25,000	25,000	25,000	25,000	25,000	25,000	25,000	25,000	25,000	250,000
Pump Station Control Panel - Upgrade	40,000										190,000
Pump Station -Generator Replacement #2	20,000						150,000				20,000
Cove Rd. Force Main Replacement - Generator	600,000	600,000									1,200,000
Cove Rd. Force Main Replacement (multiple sites)						200,000			750,000		1,150,000
Power Feed Improvement Project (BPS #9, #10, #11)			300,000								300,000
CCTV Sewer System w/ New PACP Data		100,000									100,000
Vactor Truck	150,000										150,000
San Rafael Ave Diverter Line Install							100,000				100,000
Flow Meter Install Project						15,000		15,000		15,000	45,000
Man Hole Rehabilitation		20,000		20,000		20,000		20,000			80,000
Undesignated Capital Projects	25,000	25,000	25,000	25,000	25,000	25,000	25,000	25,000	25,000	25,000	250,000
<b>Belvedere Total</b>	<b>1,460,000</b>	<b>770,000</b>	<b>600,000</b>	<b>320,000</b>	<b>300,000</b>	<b>535,000</b>	<b>550,000</b>	<b>535,000</b>	<b>1,050,000</b>	<b>315,000</b>	<b>6,435,000</b>

PARADISE COVE PLANT CAPITAL IMPROVEMENT PROGRAM

Paradise Cove Project Description	2019/2020	2020/2021	2021/2022	2022/2023	2023/2024	2024/2025	2025/2026	2026/2027	2027/2028	2028/2029	TOTAL
Paradise Sewer Line Rehab Project		250,000	250,000								500,000
Grit Removal Project				50,000							50,000
Plant Grating Replacement- Fiberglass		15,000									15,000
Communications Upgrade	20,000										20,000
Influent Wet Well Access Replacement	25,000										25,000
Flow Meter Replacement	10,000										10,000
UV Disinfection						60,000					60,000
Pump Replacement Program			25,000				25,000				50,000
Paint Treatment Plant	75,000								100,000		175,000
Undesignated Capital Projects	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000	10,000	100,000
<b>Paradise Cove Total</b>	<b>140,000</b>	<b>275,000</b>	<b>285,000</b>	<b>60,000</b>	<b>10,000</b>	<b>70,000</b>	<b>35,000</b>	<b>10,000</b>	<b>110,000</b>	<b>10,000</b>	<b>1,005,000</b>

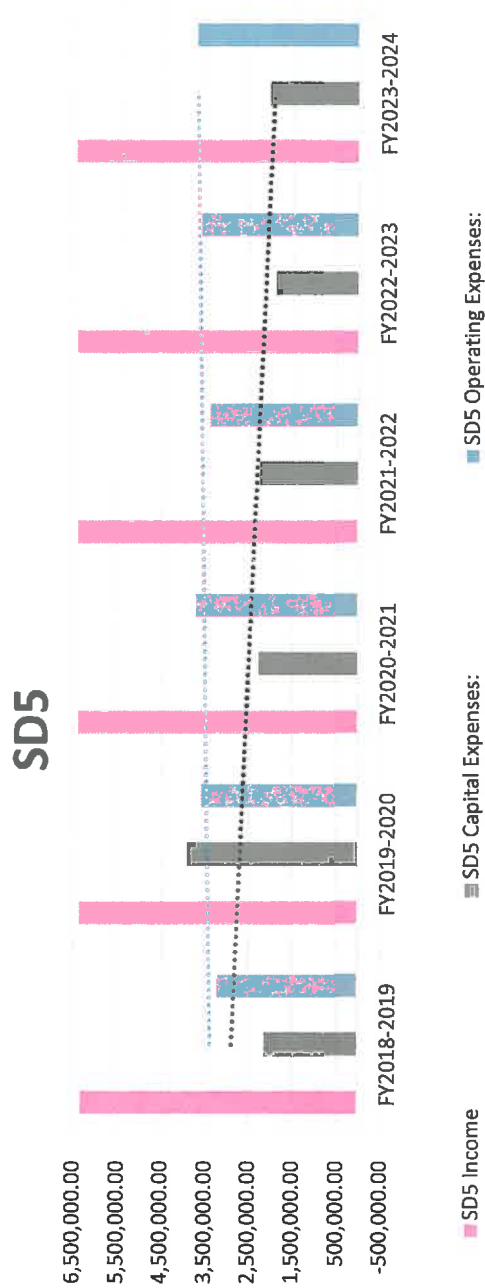
## SUMMARY OF CAPITAL IMPROVEMENT PROGRAM

Project Descriptions	2019/2020	2020/2021	2021/2022	2022/2023	2023/2024	2024/2025	2025/2026	2026/2027	2027/2028	2028/2029	TOTAL
Main Plant	265,000	230,000	65,000	50,000	275,000	515,000	515,000	15,000	215,000	185,000	2,330,000
Tiburón Pumps & Lines	1,200,000	200,000	375,000	625,000	625,000	775,000	1,125,000	425,000	375,000	425,000	6,150,000
Belvedere Pumps & Lines	1,460,000	770,000	600,000	320,000	300,000	535,000	550,000	535,000	1,050,000	315,000	6,435,000
Paradise Cove	140,000	275,000	285,000	60,000	10,000	70,000	35,000	10,000	110,000	10,000	1,005,000
MPR Debt Service	827,000	825,000	825,000	819,000	817,000	819,000	815,000	814,000	815,000	815,000	8,191,000
<b>TOTAL</b>	<b>3,992,000</b>	<b>2,300,000</b>	<b>2,150,000</b>	<b>1,874,000</b>	<b>2,027,000</b>	<b>2,714,000</b>	<b>3,040,000</b>	<b>1,799,000</b>	<b>2,565,000</b>	<b>1,750,000</b>	<b>24,111,000</b>



SD5 CASH BALANCE PROJECTIONS

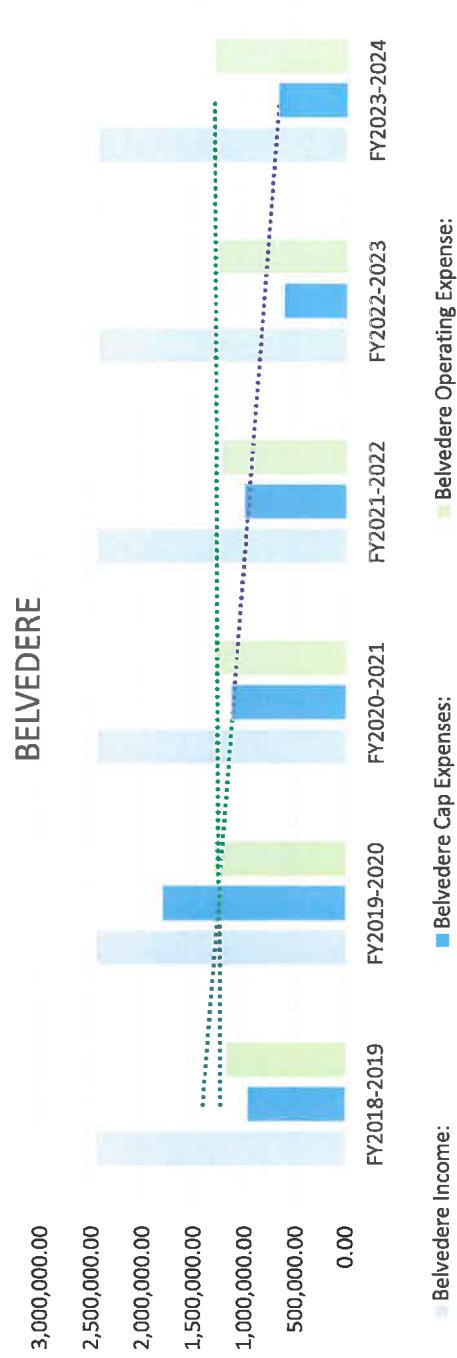
	Estimate	Budget				
	<u>FY2018-2019</u>	<u>FY2019-2020</u>	<u>FY2020-2021</u>	<u>FY2021-2022</u>	<u>FY2022-2023</u>	<u>FY2023-2024</u>
SD5 Income	6,331,506.00	6,353,371.00	6,375,674.00	6,398,423.00	6,421,627.00	6,451,627.00
SD5 Capital Expenses:	2,139,610.40	3,891,700.00	2,274,950.00	2,250,970.00	1,879,000.00	2,028,500.00
SD5 Operating Expenses:	3,219,235.60	3,583,858.00	3,718,475.00	3,401,258.01	3,608,401.00	3,716,576.00





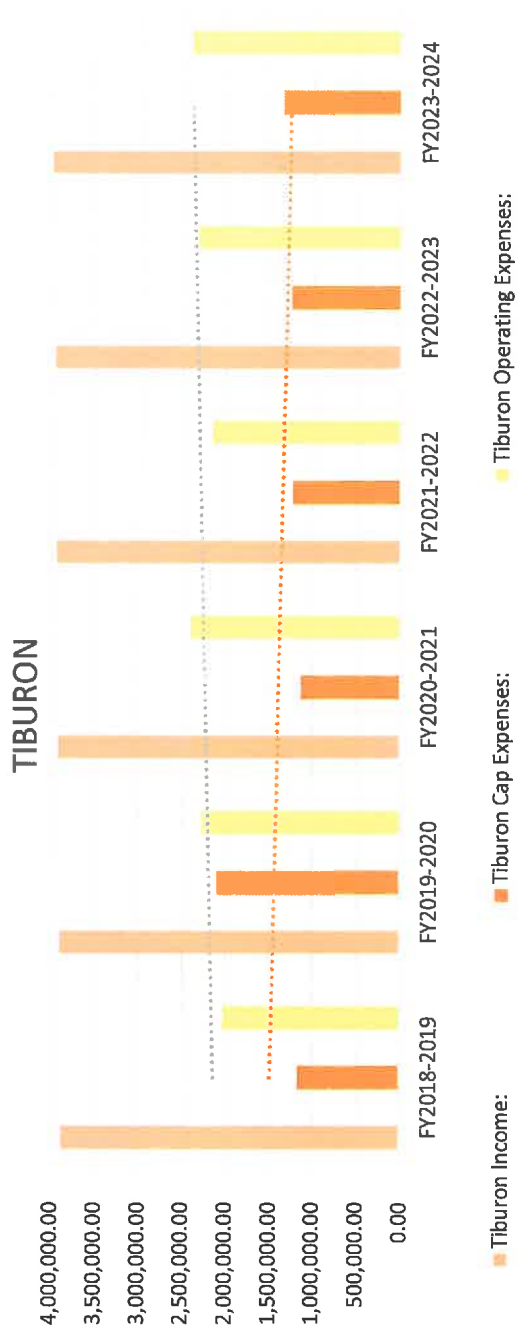
BELVEDERE CASH BALANCE PROJECTIONS

	Estimate	Budget					
	FY2018-2019	FY2019-2020	FY2020-2021	FY2021-2022	FY2022-2023	FY2023-2024	
Belvedere Income:	2,455,642.00	2,455,642.00	2,455,642.00	2,455,642.00	2,455,642.00	2,455,642.00	
Belvedere Cap Expenses:	971,057.72	1,809,366.24	1,136,497.39	1,014,859.13	628,637.80	689,613.20	
Belvedere Operating Expense:	1,186,657.28	1,305,891.76	1,314,775.61	1,243,076.87	1,281,494.20	1,317,837.80	



TIBURON CASH BALANCE PROJECTIONS

	Estimate	Budget				
	FY2018-2019	FY2019-2020	FY2020-2021	FY2021-2022	FY2022-2023	FY2023-2024
Tiburon Income:	3,875,864.00	3,897,729.00	3,920,032.00	3,942,781.00	3,965,985.00	3,995,985.00
Tiburon Cap Expenses:	1,168,552.68	2,082,333.76	1,138,452.61	1,236,110.87	1,250,362.20	1,338,886.80
Tiburon Operating Expenses:	2,032,578.32	2,277,966.24	2,403,699.39	2,158,181.14	2,326,906.80	2,398,738.20



**DECISION/ACTION ITEM LOG**  
**CIP Committee: November 12, 2019**  
 Sanitary District No. 5 of Marin County  
**ACTIVE ITEMS SHEET**

Item #8

No.	Item	Submission Date	Responsible Party	DECISION ONLY Due / <b>Completed</b>	ACTION REQUIRED Due / <b>Completed</b>	Comment/Reference Document
28	2019 Sewer Rehab Project	3.12.19	Nute/TR/CIP			Rec'd Proposal for Project Eng. From Nute, 3.11.19; Currently Reviewing Plans, 5.14.19 - will bring to SD5 Board for approval, 5.16.19; Received Lowest Bid from Glossage Engineering, Inc., 6.7.19; <del>Contract fully executed 8.11.19 issued on 8.2.19 (work to begin within 10 days of notice); Project currently under way, 9.10.19, 10.9.19, 11.12.19</del>
29	Cove Rd. Force Main Replacement Project	3.12.19	Nute/TR/CIP			Nute Preparing Bid Docs, as of 3.12.19; Waiting for CalTrans response re horizontal drilling, as of 5.14.19; Still working w/ CalTrans, waiting for approval, as of 11.12.19